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# Journal of Algebra

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# Difference Chow form $\stackrel{\diamond}{\Rightarrow}$

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#### ARTICLE INFO

Article history: Received 28 August 2013 Available online xxxx Communicated by Steven Dale Cutkosky

Keywords: Difference Chow form Generic intersection theorem Difference dimension polynomial

#### ABSTRACT

In this paper, the generic intersection theory for difference varieties is presented. Precisely, the intersection of an irreducible difference variety of dimension d > 0 and order h with a generic difference hypersurface of order s is shown to be an irreducible difference variety of dimension d-1 and order h+s. Based on the intersection theory, the difference Chow form for an irreducible difference variety is defined. Furthermore, it is shown that the difference Chow form of an irreducible difference variety V is transformally homogeneous and has the same order as V.

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#### 1. Introduction

Difference algebra founded by Ritt and Cohn aims to study algebraic difference equations in a similar way that polynomial equations are studied in algebraic geometry and differential equations are studied in differential algebra [3]. Therefore, the basic concepts of difference algebra are similar to those of differential algebra, which are based on those of algebraic geometry.

 $\label{eq:http://dx.doi.org/10.1016/j.jalgebra.2014.12.037 \\0021-8693/© 2015 Elsevier Inc. All rights reserved.$ 



ALGEBRA

 $<sup>^{*}</sup>$  Partially supported by a National Key Basic Research Project of China (2011CB302400) and by grants from NSFC (11301519, 60821002).

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The Chow form, also known as the Cayley form or the Cayley–Chow form, is a basic concept in algebraic geometry [7,8] and has important applications in transcendental number theory [16,17], elimination theory [1,4], and algebraic computational complexity [10].

Recently, the theory of differential Chow forms in both affine and projective differential algebraic geometry was developed [6,13]. It is shown that most of the basic properties of algebraic Chow form can be extended to its differential counterpart [6]. Closely related to differential Chow form, a theory of differential resultant and sparse differential resultant was also given [6,12,14]. Furthermore, a theory of sparse difference resultants has been developed [15]. So it is worthwhile to generalize the differential Chow form to its difference counterpart.

In this paper, we will study the difference Chow form for irreducible difference varieties. We first consider the dimension and order for the intersection of an irreducible difference variety by a generic difference hypersurface. Precisely, the intersection of an irreducible difference variety of dimension d > 0 and order h with a generic difference hypersurface of order s is shown to be an irreducible difference variety of dimension d-1 and order h + s. Based on the intersection theory, the concept of difference Chow form for an irreducible difference variety is defined. Furthermore, it is shown that the difference Chow form of an irreducible difference variety V is transformally homogeneous and has the same order as V. The theory of characteristic set for reflexive prime difference ideals [5,2] plays a key role in the development of the theory of the difference Chow form.

Although both the generic intersection theorem and the basic properties of difference Chow form are similar to their differential counterparts given in [6], some of them are quite different in terms of descriptions and proofs. Firstly, the proof of the generic intersection theorem is quite different from its differential counterpart. In differential case, Kolchin's theory on primitive elements plays a crucial role in the proof of [6, Theorem 3.13]. However, the difference analogue of such theory is too weak to be applied here. Secondly, the definition of the difference Chow form is more subtle than the differential case and the correspondence between irreducible difference varieties and the difference Chow forms may not be one-to-one as illustrated in Example 6.4. The main reason lies in the fact that extensions of difference fields are much more complicated than the differential case. Finally, the theory of difference Chow form is much more incomplete than the differential Chow form. For instance, it lacks Poisson-type factorization formula and whether a theory of difference Chow variety can be developed is still an open question/problem.

The rest of the paper is organized as follows. In Section 2, we present the basic notation and preliminary results in difference algebra. We devote Section 3 to a discussion of order and dimension for a reflexive prime difference ideal in terms of its characteristic sets. Generic linear transformations as well as a generic intersection theorem on difference varieties with generic hyperplanes are then given in Section 4. And in Section 5, the generic intersection theory for generic difference polynomials is established. The difference Chow form for an irreducible difference variety is defined and its basic properties are given in Section 6. In Section 7, we present the conclusion and propose several problems for further study.

#### 2. Preliminaries

In this section, some notions and preliminary results in difference algebra will be given. For more details about difference algebra, please refer to [3,19].

#### 2.1. Difference polynomial algebra

A difference field  $\mathcal{F}$  is a field with a third unitary operation  $\sigma$  satisfying that for any  $a, b \in \mathcal{F}$ ,  $\sigma(a + b) = \sigma(a) + \sigma(b)$ ,  $\sigma(ab) = \sigma(a)\sigma(b)$  and  $\sigma(a) = 0$  iff a = 0. Here,  $\sigma$  is called the *transforming operator* of  $\mathcal{F}$ . If  $a \in \mathcal{F}$ ,  $\sigma(a)$  is called the transform of a and denoted by  $a^{(1)}$ . More generally, for  $n \in \mathbb{Z}^+$ ,  $\sigma^n(a) = \sigma^{n-1}(\sigma(a))$  is called the n-th transform of a and denoted by  $a^{(n)}$ . And by convention,  $a^{(0)} = a$ . For ease of notation, set  $a^{[n]} = \{a, a^{(1)}, \ldots, a^{(n)}\}$  and  $a^{[\infty]} = \{a^{(i)} \mid i \ge 0\}$ . If  $\sigma^{-1}(a)$  is defined for all  $a \in \mathcal{F}$ , we say that  $\mathcal{F}$  is inversive. A typical example of difference field is  $(\mathbb{Q}(x), \sigma)$ with  $\sigma(f(x)) = f(x + 1)$ .

Let S be a subset of a difference extension field  $\mathcal{G}$  of  $\mathcal{F}$ . We will denote respectively by  $\mathcal{F}[S]$ ,  $\mathcal{F}(S)$ ,  $\mathcal{F}\{S\}$  and  $\mathcal{F}\langle S\rangle$  the smallest subring, the smallest subfield, the smallest difference subring and the smallest difference subfield of  $\mathcal{G}$  containing both  $\mathcal{F}$  and S. If we denote  $\Theta(S) = \{\sigma^k a \mid k \geq 0, a \in S\}$ , then  $\mathcal{F}\{S\} = \mathcal{F}[\Theta(S)]$  and  $\mathcal{F}\langle S \rangle = \mathcal{F}(\Theta(S))$ .

A subset  $\Sigma$  of a difference extension field  $\mathcal{G}$  of  $\mathcal{F}$  is said to be transformally dependent over  $\mathcal{F}$  if the set  $\Theta(\Sigma)$  is algebraically dependent over  $\mathcal{F}$ , and otherwise, it is said to be transformally independent over  $\mathcal{F}$ , or to be a family of difference indeterminates over  $\mathcal{F}$ . In the case  $\Sigma$  consists of only one element  $\alpha$ , we say that  $\alpha$  is transformally algebraic or transformally transcendental over  $\mathcal{F}$  respectively. The maximal subset  $\Omega$  of  $\mathcal{G}$  which are transformally independent over  $\mathcal{F}$  is said to be a transformal transcendence basis of  $\mathcal{G}$  over  $\mathcal{F}$ . We use  $\sigma$ .tr.deg  $\mathcal{G}/\mathcal{F}$  to denote the transformal transcendence degree of  $\mathcal{G}$ over  $\mathcal{F}$ , which is the cardinal number of  $\Omega$ . Regarding  $\mathcal{F}$  and  $\mathcal{G}$  as ordinary algebraic fields, we denote the algebraic transcendence degree of  $\mathcal{G}$  over  $\mathcal{F}$  by tr.deg  $\mathcal{G}/\mathcal{F}$ .

A homomorphism (resp. isomorphism)  $\varphi$  from a difference ring  $(\mathcal{R}, \sigma)$  to a difference ring  $(\mathcal{S}, \sigma_1)$  is a *difference homomorphism* (resp. *difference isomorphism*) if  $\varphi \circ \sigma = \sigma_1 \circ \varphi$ . If  $\mathcal{R}_0$  is a common difference subring of  $\mathcal{R}$  and  $\mathcal{S}$  and the homomorphism  $\varphi$  leaves every element of  $\mathcal{R}_0$  invariant,  $\varphi$  is said to be a difference homomorphism over  $\mathcal{R}_0$ .

Let K be the underlying field of  $\mathcal{F}$ , that is, an algebraic field consisting of the same elements as  $\mathcal{F}$ . Let  $K(x_1, x_2, \ldots)$  be an extension field of K such that the  $x_i$  form an algebraically independent set over K, and  $K^*$  be the algebraic closure of  $K(x_1, x_2, \ldots)$ . Set  $\mathscr{U}$  to be the set consisting of all difference fields defined over subfields of  $K^*$  which are difference extension fields of  $\mathcal{F}$ . Then  $\mathscr{U}$  is called the universal system of difference extension fields of  $\mathcal{F}$ . Now suppose  $\mathbb{Y} = \{y_1, \ldots, y_n\}$  is a set of difference indeterminates over  $\mathcal{F}$ . The elements of  $\mathcal{F}\{\mathbb{Y}\} = \mathcal{F}[y_j^{(k)}: j = 1, \ldots, n; k \in \mathbb{N}_0]$  are called *difference polynomials* over  $\mathcal{F}$ , and  $\mathcal{F}\{\mathbb{Y}\}$  itself is called the *difference polynomial ring* over  $\mathcal{F}$ . A difference polynomial ideal  $\mathcal{I}$  in  $\mathcal{F}\{\mathbb{Y}\}$  is an algebraic ideal which is closed under transforming, i.e.  $\sigma(\mathcal{I}) \subset \mathcal{I}$ . A difference ideal  $\mathcal{I}$  is called *reflexive* if  $a^{(1)} \in \mathcal{I}$  implies that  $a \in \mathcal{I}$ . And a difference ideal  $\mathcal{I}$  is prime if for any  $a, b \in \mathcal{F}\{\mathbb{Y}\}$ ,  $ab \in \mathcal{I}$  implies that  $a \in \mathcal{I}$  or  $b \in \mathcal{I}$ . For convenience, a prime difference ideal is assumed not to be the unit ideal in this paper. Given a set S of difference polynomials, we use  $[S]_{\mathcal{F}\{\mathbb{Y}\}}$  to denote the difference ideal generated by S in  $\mathcal{F}\{\mathbb{Y}\}$ .

An *n*-tuple over  $\mathcal{F}$  is an *n*-tuple of the form  $\mathbf{a} = (a_1, \ldots, a_n)$  where the  $a_i$  are selected from some difference extension field of  $\mathcal{F}$ . For a difference polynomial  $f \in \mathcal{F}\{y_1, \ldots, y_n\}$ ,  $\mathbf{a}$  is called a difference solution of f if when substituting  $y_i^{(j)}$  by  $a_i^{(j)}$  in f, the result is 0, denoted by  $f(\mathbf{a}) = 0$ .

An *n*-tuple  $\eta$  is called a *generic zero* of a difference ideal  $\mathcal{I} \subset \mathcal{F}\{\mathbb{Y}\}$  if for any polynomial  $P \in \mathcal{F}\{\mathbb{Y}\}, P(\eta) = 0$  iff  $P \in \mathcal{I}$ . It is well known that

**Lemma 2.1.** (See [3, p. 77].) A difference ideal possesses a generic zero if and only if it is a reflexive prime difference ideal other than the unit ideal.

For a set of difference polynomials  $S \subset \mathcal{F}\{\mathbb{Y}\}$ , the difference variety defined by Sis the set of all difference solutions of S with coordinates selected from a field of  $\mathscr{U}$ , denoted by  $\mathbb{V}(S)$ . If we use  $\mathbf{a} = (a_1, \ldots, a_n) \in \mathscr{U}^n$  to mean that there exists  $\mathcal{G} \in \mathscr{U}$  such that  $a_i \in \mathcal{G}$  for each i, then  $\mathbb{V}(S) = \{\mathbf{a} \in \mathscr{U}^n \mid f(\mathbf{a}) = 0, \forall f \in S\}$ . A difference variety is called irreducible if it is not the union of two proper subvarieties. For a difference variety V,  $\mathbb{I}(V) = \{f \in \mathcal{F}\{\mathbb{Y}\} \mid f(\mathbf{a}) = 0, \forall \mathbf{a} \in S\}$ . And V is irreducible if and only if  $\mathbb{I}(V)$  is a reflexive prime difference ideal. In this case, a generic zero of  $\mathbb{I}(V)$  is called a generic point of V.

Given two *n*-tuples  $\mathbf{a} = (a_1, \ldots, a_n)$  and  $\bar{\mathbf{a}} = (\bar{a}_1, \ldots, \bar{a}_n)$  over  $\mathcal{F}$ ,  $\bar{\mathbf{a}}$  is called a specialization of  $\mathbf{a}$  over  $\mathcal{F}$ , or  $\mathbf{a}$  specializes to  $\bar{\mathbf{a}}$ , if for every difference polynomial  $P \in \mathcal{F}\{\mathbb{Y}\}$ ,  $P(\mathbf{a}) = 0$  implies that  $P(\bar{\mathbf{a}}) = 0$ . A point  $\eta \in \mathscr{U}^n$  is said to be *free from* the pure transformal transcendental extension field  $\mathcal{F}\langle U \rangle$  of  $\mathcal{F}$  if U is transformally independent over  $\mathcal{F}\langle \eta \rangle$ . The following property about difference specialization will be needed in this paper.

**Lemma 2.2.** Let  $P_i(\mathbb{U}, \mathbb{Y}) \in \mathcal{F}\{\mathbb{Y}, \mathbb{U}\}$  (i = 1, ..., m) where  $\mathbb{U} = (u_1, ..., u_r)$  and  $\mathbb{Y} = (y_1, ..., y_n)$  are sets of difference indeterminates. Suppose  $\overline{\mathbb{Y}} = (\overline{y}_1, ..., \overline{y}_n)$  is an n-tuple over  $\mathcal{F}$  that is free from  $\mathcal{F}\langle \mathbb{U} \rangle$ . If  $P_i(\mathbb{U}, \overline{\mathbb{Y}})$  (i = 1, ..., m) are transformally dependent over  $\mathcal{F}\langle \mathbb{U} \rangle$ , then for any difference specialization  $\mathbb{U}$  to  $\overline{\mathbb{U}} \subset \mathcal{F}$ ,  $P_i(\overline{\mathbb{U}}, \overline{\mathbb{Y}})$  (i = 1, ..., m) are transformally dependent over  $\mathcal{F}$ .

**Proof.** It suffices to show the case r = 1. Denote  $u = u_1$ . Since  $P_i(u, \overline{\mathbb{Y}})$  (i = 1, ..., m) are transformally dependent over  $\mathcal{F}\langle u \rangle$ , there exist natural numbers s and l such that

 $\mathbb{P}_{i}^{(k)}(u, \overline{\mathbb{Y}}) \ (k \leq s)$  are algebraically dependent over  $\mathcal{F}(u^{(k)} \mid k \leq s+l)$ . When u specializes to  $\bar{u} \in \mathcal{F}, u^{(k)} \ (k \geq 0)$  correspondingly algebraically specialized to  $\bar{u}^{(k)} \in \mathcal{F}$ . By [20, p. 161],  $\mathbb{P}_{i}^{(k)}(\bar{u}, \overline{\mathbb{Y}}) \ (k \leq s)$  are algebraically dependent over  $\mathcal{F}$ . Thus,  $P_{i}(\bar{u}, \overline{\mathbb{Y}}) \ (i = 1, \ldots, m)$  are transformally dependent over  $\mathcal{F}$ .  $\Box$ 

### 2.2. Characteristic set for a difference polynomial system

A ranking  $\mathscr{R}$  is a total order over  $\Theta(\mathbb{Y}) = \{\sigma^k y_i \mid 1 \leq i \leq n, k \geq 0\}$  satisfying

- 1)  $\sigma(\alpha) > \alpha$  for all  $\alpha \in \Theta(\mathbb{Y})$  and
- 2)  $\alpha_1 > \alpha_2 \Rightarrow \sigma(\alpha_1) > \sigma(\alpha_2)$  for arbitrary  $\alpha_1, \alpha_2 \in \Theta(\mathbb{Y})$ .

Below are two important kinds of rankings:

- 1) Elimination ranking:  $y_i > y_j \Rightarrow \sigma^k y_i > \sigma^l y_j$  for any  $k, l \ge 0$ .
- 2) Orderly ranking:  $k > l \Rightarrow \sigma^k y_i > \sigma^l y_j$ , for any  $i, j \in \{1, \dots, n\}$ .

Let f be a difference polynomial in  $\mathcal{F}\{\mathbb{Y}\}$  endowed with a ranking  $\mathscr{R}$ . The *leader* of f is the greatest  $y_j^{(k)}$  appearing effectively in f, denoted by  $\operatorname{ld}(f)$ . In this case, we call  $y_j$  the *leading variable* of f, denoted by  $\operatorname{lvar}(f)$ . The leading coefficient of f as a univariate polynomial in  $\operatorname{ld}(f)$  is called the *initial* of f and denoted by  $\operatorname{I}_f$ . The order of f w.r.t.  $y_i$ , denoted by  $\operatorname{ord}(f, y_i)$ , is defined as the greatest number k such that  $y_i^{(k)}$  appears effectively in f. The *least order* of f w.r.t.  $y_i$  is  $\operatorname{Lord}(f, y_i) = \min\{k \mid \operatorname{deg}(f, y_i^{(k)}) > 0\}$  and the *effective order* of f w.r.t.  $y_i$  is  $\operatorname{Eord}(f, y_i) = \operatorname{ord}(f, y_i) - \operatorname{Lord}(f, y_i)$ . And if  $y_i$  does not appear in f, then set  $\operatorname{ord}(f, y_i) = -\infty$  and  $\operatorname{Eord}(f, y_i) = -\infty$ . The order of f is defined as  $\operatorname{ord}(f) = \max_i \operatorname{ord}(f, y_i)$ .

Let f and g be two difference polynomials in  $\mathcal{F}\{\mathbb{Y}\}$ . We say g is higher than f, denoted by g > f, if 1)  $\mathrm{ld}(g) > \mathrm{ld}(f)$ , or 2)  $\mathrm{ld}(g) = \mathrm{ld}(f) = y_j^{(k)}$  and  $\mathrm{deg}(g, y_j^{(k)}) > \mathrm{deg}(f, y_j^{(k)})$ . Suppose  $\mathrm{ld}(f) = y_j^{(k)}$ . Then g is said to be *reduced* w.r.t. f if  $\mathrm{deg}(g, y_j^{(k+l)}) < \mathrm{deg}(f, y_j^{(k)})$ for each  $l \ge 0$ . A finite sequence of nonzero difference polynomials  $\mathcal{A} = A_1, \ldots, A_m$  is called an *ascending chain* if 1) m = 1 and  $A_1 \neq 0$  or 2) m > 1,  $A_j > A_i$  and  $A_j$  is reduced w.r.t.  $A_i$  for  $1 \le i < j \le m$ .

**Definition 2.3.** Let  $\mathcal{A}$  be an ascending chain. Rewrite  $\mathcal{A}$  in the following form

$$\mathcal{A} = \begin{cases} A_{11}, \dots, A_{1l_1} \\ \dots \\ A_{p1}, \dots, A_{pl_p} \end{cases}$$
(1)

where  $\operatorname{lvar}(A_{ij}) = y_{c_i}$  for  $j = 1, \ldots, l_i, c_{i_1} \neq c_{i_2}$  for  $i_1 \neq i_2$ , and  $\operatorname{ord}(A_{ij}, y_{c_i}) < \operatorname{ord}(A_{ik}, y_{c_i})$  for j < k. Then the *order* of  $\mathcal{A}$  is defined as  $\sum_{i=1}^p \operatorname{ord}(A_{i1}, y_{c_i})$ , and the subset  $\mathbb{Y} \setminus \{y_{c_1}, \ldots, y_{c_p}\}$  is called the *parametric set* of  $\mathcal{A}$ .

Set  $o_{ij} = \operatorname{ord}(A_{ij}, y_{c_i})$ . For  $h_1, \ldots, h_n \ge 0$ , following [5, Section 3.2], we obtain the following polynomial sequence

$$\mathcal{A}_{(h_1,\dots,h_n)} = \begin{cases} A_{11},\dots,A_{11}^{(o_{12}-o_{11}-1)},A_{12},\dots,A_{1l_1},\dots,A_{1l_1}^{(\bar{h}_{c_1}-o_{1l_1})} \\ \dots \\ A_{p1},\dots,A_{p1}^{(o_{p2}-o_{p1}-1)},A_{p2},\dots,A_{pl_p},\dots,A_{pl_p}^{(\bar{h}_{c_p}-o_{pl_p})} \end{cases}$$

where  $\bar{h}_{c_i} \geq \max\{h_{c_i}, o_{il_i} + 1\}$  is an integer depending on  $\mathcal{A}$  and the algorithm. For an ascending chain  $\mathcal{A}$  and a difference polynomial f, let  $\mathcal{A}_f = \mathcal{A}_{(\operatorname{ord}(f,y_1),\ldots,\operatorname{ord}(f,y_n))}$ . Then the *difference remainder* of f w.r.t.  $\mathcal{A}$  is defined as the algebraic pseudo-remainder of f w.r.t.  $\mathcal{A}_f$ , that is,  $\operatorname{prem}(f, \mathcal{A}) = \operatorname{aprem}(f, \mathcal{A}_f)$ , which is reduced w.r.t.  $\mathcal{A}$ .

Let  $\mathcal{A}$  be an ascending chain. Denote  $\mathbb{I}_{\mathcal{A}}$  to be the minimal multiplicative set containing the initials of elements of  $\mathcal{A}$  and their transforms. The *saturation ideal* of  $\mathcal{A}$  is defined as

$$\operatorname{sat}(\mathcal{A}) = [\mathcal{A}] : \mathbb{I}_{\mathcal{A}} = \{ p \mid \exists h \in \mathbb{I}_{\mathcal{A}}, \text{ s.t. } hp \in [A] \}.$$

The algebraic saturation ideal of  $\mathcal{A}$  is  $\operatorname{asat}(\mathcal{A}) = (\mathcal{A}) : I_{\mathcal{A}}$ , where  $I_{\mathcal{A}}$  is the minimal multiplicative set containing the initials of elements of  $\mathcal{A}$ .

An ascending chain  $\mathcal{C}$  contained in a difference polynomial set  $\mathcal{S}$  is said to be a *characteristic set* of  $\mathcal{S}$ , if  $\mathcal{S}$  does not contain any nonzero element reduced w.r.t.  $\mathcal{C}$ . If  $\mathcal{C}$  is a characteristic set of a difference ideal  $\mathcal{I}$ , then  $\mathcal{C}$  reduces each element of  $\mathcal{I}$  to zero. Moreover, if  $\mathcal{I}$  is a reflexive prime difference ideal, then  $\mathcal{C}$  reduces to zero only the elements of  $\mathcal{I}$  and we have  $\mathcal{I} = \operatorname{sat}(\mathcal{C})$ .

**Remark 2.4.** A set of difference polynomials  $\mathcal{A} = \{A_1, \ldots, A_m\}$  is called a *difference* triangular set if the following conditions are satisfied:

- 1) the leaders of  $A_i$  are distinct,
- 2) no initial of an element of  $\mathcal{A}$  is reduced to zero by  $\mathcal{A}$ .

Similar properties to ascending chains can be developed for difference triangular sets. We also can define a characteristic set of a difference ideal  $\mathcal{I}$  to be a difference triangular set  $\mathcal{A}$  contained in  $\mathcal{I}$  such that  $\mathcal{I}$  does not contain any nonzero element reduced w.r.t.  $\mathcal{A}$ . So we will not distinguish ascending chains and difference triangular sets in this paper.

#### 3. Dimension and order of a reflexive prime difference ideal

Let  $\mathcal{I}$  be a reflexive prime difference ideal in  $\mathcal{F}\{\mathbb{Y}\}$  with a generic zero  $(\eta_1, \ldots, \eta_n)$ . The *dimension* of  $\mathcal{I}$  is defined as  $\sigma.tr.deg \mathcal{F}\langle\eta_1, \ldots, \eta_n\rangle/\mathcal{F}$  and  $\operatorname{codim}(\mathcal{I}) = n - \dim(\mathcal{I})$ . A subset  $\mathbb{U}$  of  $\mathbb{Y}$  is called a transformal independent set modulo  $\mathcal{I}$  if  $\mathcal{I} \cap \mathcal{F}\{\mathbb{U}\} = \{0\}$ . A maximal transformal independent set modulo  $\mathcal{I}$  is called a *parametric set* of  $\mathcal{I}$ . Obviously, a necessary and sufficient condition for a transformal independent set  $\mathbb{U}$  becoming a parametric set of  $\mathcal{I}$  is that  $|\mathbb{U}| = \dim(\mathcal{I})$ . Let  $\mathbb{U} = \{y_{i_1}, \ldots, y_{i_p}\}$  be a parametric set of  $\mathcal{I}$ , the *relative order of*  $\mathcal{I}$  *w.r.t. to*  $\mathbb{U}$ , denoted by  $\operatorname{ord}_{\mathbb{U}}(\mathcal{I})$ , is defined as tr.deg  $\mathcal{F}\langle \eta_1, \ldots, \eta_n \rangle / \mathcal{F}\langle \eta_{i_1}, \ldots, \eta_{i_p} \rangle$ .

**Definition 3.1.** (See [11, Theorem 6.4.1].) Let  $\mathcal{I}$  be a reflexive prime difference ideal in  $\mathcal{F}{\mathbb{Y}}$  with a generic zero  $(\eta_1, \ldots, \eta_n)$ . Then there exists a numerical polynomial  $\varphi_{\mathcal{I}}(t)$  such that, for all sufficiently large  $t \in \mathbb{N}$ ,  $\varphi_{\mathcal{I}}(t) = \text{tr.deg } \mathcal{F}(\eta_1^{[t]}, \ldots, \eta_n^{[t]})/\mathcal{F}$ . The polynomial  $\varphi_{\mathcal{I}}(t)$  is called the *difference dimension polynomial* of  $\mathcal{I}$ .

**Lemma 3.2.** (See [11, Theorem 6.4.1].) Let  $\mathcal{I}$  be a reflexive prime difference ideal with  $\dim(\mathcal{I}) = d$ . Then there exists a nonnegative integer h such that  $\varphi_{\mathcal{I}}(t) = d(t+1) + h$ . We define h to be the order of  $\mathcal{I}$  and denote  $\operatorname{ord}(\mathcal{I}) = h$ . Moreover, if  $\mathcal{A}$  is a characteristic set of  $\mathcal{I}$  w.r.t. some fixed orderly ranking, then  $\operatorname{ord}(\mathcal{A}) = \operatorname{ord}(\mathcal{I})$ .

In [2], Cohn showed that a characteristic set of  $\mathcal{I}$  w.r.t. an arbitrary elimination ranking can give the information of both dimension and relative order of  $\mathcal{I}$ . More precisely, if  $\mathcal{A}$  is a characteristic set of  $\mathcal{I}$  under some elimination ranking and  $\mathbb{U}$  is the parametric set of  $\mathcal{A}$ , then dim( $\mathcal{I}$ ) =  $|\mathbb{U}|$  and ord<sub>U</sub>  $\mathcal{I}$  = ord( $\mathcal{A}$ ). And elimination ranking plays a crucial role in that proof. In the following, we will show that no matter which ranking we work with, the previous result is still true. As an application, we also give the relation between the order and the relative orders of a reflexive prime difference ideal. Before proposing the main result, we first give the following lemmas.

**Lemma 3.3.** Let  $\mathcal{I}$  be a reflexive prime difference ideal in  $\mathcal{F}\{\mathbb{Y}\}$  and  $\mathcal{C}$  be a characteristic set of  $\mathcal{I}$ . Suppose  $\mathbb{U}$  is the parametric set of  $\mathcal{C}$  and set  $\overline{\mathbb{Y}} = \mathbb{Y} \setminus \mathbb{U}$ . Then  $\mathcal{C}$  is also a characteristic set of  $\overline{\mathcal{I}} = [\mathcal{I}] \subset \mathcal{F} \langle \mathbb{U} \rangle \{\overline{\mathbb{Y}}\}$  w.r.t. the ranking induced by the original one on  $\mathbb{Y}$  and  $\operatorname{ord}_{\mathbb{U}}(\mathcal{I}) = \operatorname{ord}(\overline{\mathcal{I}})$ .

**Proof.** Since each nonzero  $f \in \mathcal{F}\{\mathbb{U}\}$  is reduced w.r.t.  $\mathcal{C}, \mathcal{I} \cap \mathcal{F}\{\mathbb{U}\} = \{0\}$ . Hence,  $\overline{\mathcal{I}} \neq [1]$ . For each  $f \in \overline{\mathcal{I}}$ , there exists  $h \in \mathcal{F}\{\mathbb{U}\}$  such that  $hf \in \mathcal{F}\{\mathbb{V}\} \cap \overline{\mathcal{I}} = \mathcal{I}$ . If f is reduced w.r.t.  $\mathcal{C}$ , then  $hf \in \mathcal{I}$  is also reduced w.r.t.  $\mathcal{C}$ . So hf = 0 and f = 0 follows. Thus,  $\mathcal{C}$  is a characteristic set of  $\overline{\mathcal{I}}$ . Suppose  $|\overline{\mathbb{V}}| = p$  and  $(\mathbb{U}, \eta_1, \ldots, \eta_p)$  is a generic zero of  $\mathcal{I}$ . Then  $(\eta_1, \ldots, \eta_p)$  is a generic zero of  $\overline{\mathcal{I}}$ . By the definition of relative order,  $\operatorname{ord}_{\mathbb{U}}(\mathcal{I}) = \operatorname{ord}(\overline{\mathcal{I}})$ .  $\Box$ 

**Lemma 3.4.** Let  $\mathcal{I}$  be a reflexive prime difference ideal in  $\mathcal{F}\{\mathbb{Y}\}$  and  $\mathcal{C}$  be a characteristic set of  $\mathcal{I}$  w.r.t. an arbitrary ranking which has empty parametric set. Then for sufficiently large  $r \in \mathbb{N}$ , the algebraic dimension of  $\operatorname{sat}(\mathcal{C}) \cap \mathcal{F}[\Theta_r \mathbb{Y}]$  is equal to the order of  $\mathcal{C}$ , where  $\Theta_r \mathbb{Y} = \{y_j^{(k)} \mid k \leq r; j = 1, ..., n\}.$ 

**Proof.** Let  $r_0 = \max\{\operatorname{ord}(A) \mid A \in \mathcal{C}\} + 1$  and take  $r \geq r_0$ . Denote  $\mathcal{C}_r = \mathcal{C}_{(r,\ldots,r)}$ . Firstly, since for any  $f \in \operatorname{sat}(\mathcal{C}) \cap \mathcal{F}[\Theta_r \mathbb{Y}]$ ,  $\operatorname{prem}(f, \mathcal{C}) = \operatorname{aprem}(f, \mathcal{C}_r) = 0$ , it follows that sat $(\mathcal{C}) \cap \mathcal{F}[\Theta_r \mathbb{Y}] = \operatorname{asat}(\mathcal{C}_{(r)}) \cap \mathcal{F}[\Theta_r \mathbb{Y}]$ . By [9, Theorem 3.2], the set of non-leaders of  $\mathcal{C}_{(r)}$  is a parametric set of  $\operatorname{asat}(\mathcal{C}_{(r)})$ . And by [5, Lemma 3.3], the set of non-leaders of  $\mathcal{C}_{(r)}$  is contained in  $\Theta_r \mathbb{Y}$  and its cardinal is equal to  $\operatorname{ord}(\mathcal{C})$ . Thus  $\operatorname{dim}(\operatorname{asat}(\mathcal{C}_{(r)}) \cap \mathcal{F}[\Theta_r \mathbb{Y}]) = \operatorname{ord}(\mathcal{C})$ . Hence,  $\operatorname{dim}(\operatorname{sat}(\mathcal{C}) \cap \mathcal{F}[\Theta_r \mathbb{Y}]) = \operatorname{ord}(\mathcal{C})$ .  $\Box$ 

With the above preparations, we now give the first main result in this section, which is a difference analog of [18, Theorem 4.11].

**Theorem 3.5.** Let C be a characteristic set of a reflexive prime difference ideal  $\mathcal{I} \subset \mathcal{F}\{\mathbb{Y}\}$ endowed with an arbitrary ranking. Then the parametric set  $\mathbb{U}$  of C is a parametric set of  $\mathcal{I}$ . Its cardinal gives the difference dimension of  $\mathcal{I}$ . Furthermore, the order of  $\mathcal{I}$  relative to  $\mathbb{U}$  is equal to the order of C.

**Proof.** Consider  $\overline{\mathcal{I}} = [\mathcal{I}] \subset \mathcal{F}\langle \mathbb{U} \rangle \{\overline{\mathbb{Y}}\}$ , where  $\overline{\mathbb{Y}} = \mathbb{Y} \setminus \mathbb{U}$ . By Lemma 3.3,  $\mathcal{C}$  is a characteristic set of  $\overline{\mathcal{I}}$  which has empty parametric set. By Lemma 3.4, for sufficiently large  $r \in \mathbb{N}$ ,  $\dim(\overline{\mathcal{I}} \cap \mathcal{F}[\Theta_r \overline{\mathbb{Y}}]) = \operatorname{ord}(\mathcal{C})$ . By Lemma 3.2,  $\dim(\overline{\mathcal{I}} \cap \mathcal{F}[\Theta_r \mathbb{Y}]) = \dim(\overline{\mathcal{I}})(r+1) + \operatorname{ord}(\overline{\mathcal{I}})$ , hence  $\dim(\overline{\mathcal{I}}) = 0$  and  $\operatorname{ord}(\overline{\mathcal{I}}) = \operatorname{ord}(\mathcal{C})$ . For each  $y \in \overline{\mathbb{Y}}$ , since  $\overline{\mathcal{I}} \cap \mathcal{F}\langle \mathbb{U} \rangle \{y\} \neq \{0\}$ ,  $\mathcal{I} \cap \mathcal{F}\{\mathbb{U}, y\} \neq \{0\}$ . Thus  $\mathbb{U}$  is a parametric set of  $\mathcal{I}$  and  $\operatorname{ord}_{\mathbb{U}} \mathcal{I} = \operatorname{ord}(\overline{\mathcal{I}}) = \operatorname{ord}(\mathcal{C})$ .  $\Box$ 

Apart from the trivial ideals [0] and  $\mathcal{F}\{\mathbb{Y}\}$  itself, the simplest and also most interesting ideals are reflexive prime difference ideals of codimension 1. In differential algebra, for each prime differential ideal  $\mathcal{I}$  of codimension 1, there exists an irreducible differential polynomial F such that  $\{F\}$  is a characteristic set of  $\mathcal{I}$  w.r.t. any ranking. Unlike the differential case, here even though  $\mathcal{I}$  is of codimension one, it may happen that there is more than one difference polynomial in a characteristic set of  $\mathcal{I}$  and characteristic sets may be distinct for different rankings. Nevertheless, the following lemma shows that a uniqueness property still exists for the characteristic sets of a reflexive prime difference ideal of codimension one under different rankings.

**Lemma 3.6.** (See [15, Lemma 2.6].) Let  $\mathcal{I}$  be a reflexive prime difference ideal of codimension one in  $\mathcal{F}\{\mathbb{Y}\}$ . The first element in any characteristic set of  $\mathcal{I}$  w.r.t. any ranking, when taken irreducible,<sup>1</sup> is unique up to a factor in  $\mathcal{F}$ .

We will end this section by proposing the following theorem, which gives the relation between the order and the relative order of a reflexive prime difference ideal.

**Theorem 3.7.** Let  $\mathcal{I}$  be a reflexive prime difference ideal in the difference polynomial ring  $\mathcal{F}{\mathbb{Y}}$ . Then the order of  $\mathcal{I}$  is equal to the maximum of all the relative orders of  $\mathcal{I}$ , that is,  $\operatorname{ord}(\mathcal{I}) = \max_{\mathbb{U}} \operatorname{ord}_{\mathbb{U}} \mathcal{I}$ , where  $\mathbb{U}$  is a parametric set of  $\mathcal{I}$ .

<sup>&</sup>lt;sup>1</sup> Since  $\mathcal{I}$  is a reflexive prime difference ideal, the first element of a characteristic set of  $\mathcal{I}$  w.r.t. any ranking can always be taken to be an irreducible polynomial. In other words, if the first element  $A_1$  of a given characteristic set  $\mathcal{A}$  of  $\mathcal{I}$  is not irreducible,  $A_1$  can be replaced by one of its specific irreducible factor.

**Proof.** Let  $\mathcal{C}$  be a characteristic set of  $\mathcal{I}$  w.r.t. some fixed orderly ranking. Similarly to the proof of [6, Theorem 2.11], we can show that  $\operatorname{ord}_{\mathbb{U}}\mathcal{I} \leq \operatorname{ord}(\mathcal{C})$  for every parametric set  $\mathbb{U}$  of  $\mathcal{I}$  by using Lemma 3.2.

Set  $\mathbb{U}^*$  to be the parametric set of  $\mathcal{C}$ , by Lemma 3.2 and Theorem 3.5,  $\operatorname{ord}(\mathcal{I}) = \operatorname{ord}(\mathcal{C}) = \operatorname{ord}_{\mathbb{U}^*} \mathcal{I}$ . Hence for each parametric set  $\mathbb{U}$ ,  $\operatorname{ord}_{\mathbb{U}}(\mathcal{I}) \leq \operatorname{ord}(\mathcal{I})$  and there exists a parametric set  $\mathbb{U}^*$  such that  $\operatorname{ord}_{\mathbb{U}^*}(\mathcal{I}) = \operatorname{ord}(\mathcal{I})$ . Thus, the proof is completed.  $\Box$ 

#### 4. Generic linear transformations and intersection with generic difference hyperplanes

In this section, we will introduce generic linear transformations and study the intersection of an irreducible difference variety with a generic difference hyperplane. Throughout this section,  $\mathscr{U}$  stands for a fixed universal system of difference extension fields of  $\mathcal{F}$  and recall that by  $(a_1, \ldots, a_n) \in \mathscr{U}^n$ , we mean that there exists  $\mathcal{G} \in \mathscr{U}$  such that  $a_i \in \mathcal{G}$  for each *i*.

**Definition 4.1.** Let  $U = \{u_{ij}: i = 1, ..., n; j = 1, ..., n\} \subset \mathscr{U}$  be a transformally independent set over  $\mathcal{F}$ . A generic difference linear transformation over  $\mathcal{F}$  is a linear transformation  $\mathcal{T}$  from  $\mathscr{U}^n$  to  $\mathscr{U}^n$  such that for every point  $\alpha = (\alpha_1, ..., \alpha_n)^{\mathrm{T}}$ ,

$$\mathcal{T}(\alpha) = \begin{pmatrix} u_{11} & \cdots & u_{1n} \\ \vdots & \ddots & \vdots \\ u_{n1} & \cdots & u_{nn} \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{pmatrix}.$$

Obviously,  $\mathcal{T}$  is a bijective linear transformation and we denote its inverse mapping to be  $\mathcal{T}^{-1}$ . For every difference polynomial  $P(\mathbb{Y}) \in \mathcal{F}\{\mathbb{Y}\}$ , we define  $\mathcal{T}(P) = P(\mathcal{T}^{-1}(\mathbb{Y})) \in \mathcal{F}\langle U \rangle \{\mathbb{Y}\}$ .

**Lemma 4.2.** If V is a difference variety over  $\mathcal{F}$ , then  $\mathcal{T}(V)$  is a difference variety over  $\mathcal{F}\langle U \rangle$ . Furthermore, if V is irreducible, then  $\mathcal{T}(V)$  is also irreducible, and  $\dim(V) = \dim(\mathcal{T}(V))$ ,  $\operatorname{ord}(V) = \operatorname{ord}(\mathcal{T}(V))$ .

**Proof.** Suppose  $V = \mathbb{V}(f_1, \ldots, f_m)$ , where  $f_i \in \mathcal{F}\{\mathbb{Y}\}$ . Claim:  $\mathcal{T}(V) = \mathbb{V}(\mathcal{T}(f_1), \ldots, \mathcal{T}(f_m))$ . For any  $\mathbf{b} \in \mathcal{T}(V)$ , there exists  $\mathbf{a} \in V$  such that  $\mathbf{b} = \mathcal{T}(\mathbf{a})$ , then  $\mathcal{T}(f_i)(\mathbf{b}) = f_i(\mathcal{T}^{-1}(\mathbf{b})) = f_i(\mathbf{a}) = 0$ . Hence,  $\mathbf{b} \in \mathbb{V}(\mathcal{T}(f_1), \ldots, \mathcal{T}(f_m))$ . Conversely, for any  $\mathbf{b} \in \mathbb{V}(\mathcal{T}(f_1), \ldots, \mathcal{T}(f_m))$ , then  $\mathcal{T}(f_i)(\mathbf{b}) = f_i(\mathcal{T}^{-1}(\mathbf{b})) = 0$ . So  $\mathcal{T}^{-1}(\mathbf{b}) \in V$  and  $\mathbf{b} \in \mathcal{T}(V)$ . Thus,  $\mathcal{T}(V) = \mathbb{V}(\mathcal{T}(f_1), \ldots, \mathcal{T}(f_m))$  and  $\mathcal{T}(V)$  is a difference variety over  $\mathcal{F}\langle U \rangle$ .

Suppose V is irreducible and  $\xi$  is a generic zero of V that is free from  $\mathcal{F}\langle U \rangle$ . It is easy to show that  $\mathcal{T}(\xi)$  is a generic zero of  $\mathcal{T}(V)$  over  $\mathcal{F}\langle U \rangle$ . Indeed, for each  $f \in \mathcal{F}\langle U \rangle \{\mathbb{Y}\}$ satisfying  $f(\mathcal{T}(\xi)) = 0$ ,  $\mathcal{T}^{-1}(f)(\xi) = 0$ . Since  $\xi$  is free from  $\mathcal{F}\langle U \rangle$ , for each  $\mathbf{a} \in V$ ,  $\mathcal{T}^{-1}(f)(\mathbf{a}) = 0 = f(\mathcal{T}(\mathbf{a}))$ . Thus,  $f|_{\mathcal{T}(V)} \equiv 0$  and it follows that  $\mathcal{T}(V)$  is irreducible. Suppose dim(V) = d, ord(V) = h, then for sufficiently large  $t \in \mathbb{N}$ ,  $\varphi_{\mathcal{T}(V)/\mathcal{F}(U)}(t) =$ tr.deg  $\mathcal{F}\langle U \rangle (\mathcal{T}(\xi)^{[t]})/\mathcal{F}\langle U \rangle =$  tr.deg  $\mathcal{F}\langle U \rangle (\xi^{[t]})/\mathcal{F}\langle U \rangle =$  tr.deg  $\mathcal{F}(\xi^{[t]})/\mathcal{F} = d(t+1) + h$ . Hence, dim(V) =dim $(\mathcal{T}(V))$ , ord(V) = ord $(\mathcal{T}(V))$ .  $\Box$ 

**Definition 4.3.** A generic difference hyperplane is the difference variety defined by  $u_0 + u_1y_1 + \cdots + u_ny_n = 0$ , where the  $u_i \in \mathscr{U}$  are transformally independent over  $\mathcal{F}$ .

The following theorem gives the main result of this section, which generalizes an interesting theorem [8, p. 54, Theorem I] in algebraic geometry to the difference case.

**Theorem 4.4.** Let V be an irreducible difference variety over  $\mathcal{F}$  with dimension d > 0and order h. Let  $\mathcal{L}: u_1y_1 + \cdots + u_ny_n - u_0 = 0$  be a generic difference hyperplane. Then  $V \cap L$  is an irreducible difference variety over  $\mathcal{F}\langle u_0, u_1, \ldots, u_n \rangle$  with dimension d - 1and order h.

**Proof.** Consider the following generic difference linear transformation  $\mathcal{T} : \mathscr{U}^n \to \mathscr{U}^n$ over  $\mathcal{F} : \forall \alpha = (\alpha_1, \ldots, \alpha_n)^T$ ,

$$\mathcal{T}(\alpha) = \begin{pmatrix} u_1 & \cdots & u_n \\ v_1 & \cdots & v_n \\ \vdots & \ddots & \vdots \\ w_1 & \cdots & w_n \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_n \end{pmatrix},$$

where  $U = \{u_i, v_j, \dots, w_k\}$  is a transformally independent set over  $\mathcal{F}$ .

Then  $\mathcal{T}(\mathcal{L}) = y_1 - u_0 = 0$ . By Lemma 4.2,  $\mathcal{T}(V)$  is an irreducible difference variety over  $\mathcal{F}\langle U \rangle$  with dim $(\mathcal{T}(V)) = d$  and  $\operatorname{ord}(\mathcal{T}(V)) = h$ . Suppose

$$\mathcal{A} = \begin{cases} A_{11}, \dots, A_{1l_1} \\ \dots \\ A_{n-d,1}, \dots, A_{n-d,l_{n-d}} \end{cases}$$

is a difference characteristic set of  $\mathcal{T}(V)$  w.r.t. some orderly ranking  $\mathscr{R}$ , where  $\operatorname{lvar}(A_{ij}) = y_{c_i}$  for  $j = 1, \ldots, l_i$  and  $\operatorname{ord}(A_{ij}, y_{c_i}) < \operatorname{ord}(A_{il}, y_{c_i})$  for all j < l. By interchanging the rows of the matrix of  $\mathcal{T}$  when necessary, suppose  $y_1$  lies in the parametric set of  $\mathcal{A}$ .

In each  $A_{ij}$ , replace  $y_1$  by  $u_0$  and denote it by  $B_{ij}$ . Set  $B_0 = y_1 - u_0$  and

$$\mathcal{B} = \begin{cases} B_0 \\ B_{1,1}, \dots, B_{1,l_1} \\ \dots \\ B_{n-d,1}, \dots, B_{n-d,l_{n-d}} \end{cases}$$

We claim that  $[\operatorname{sat}(\mathcal{A}), B_0]$  is a reflexive prime difference ideal over  $\mathcal{F}\langle u_0, U \rangle$  and  $\mathcal{B}$  is a characteristic set of it w.r.t.  $\mathscr{R}$ . Then  $\mathcal{T}(V \cap L) = \mathcal{T}(V) \cap \mathcal{T}(L)$  is an irreducible difference

variety over  $\mathcal{F}\langle u_0, U \rangle$  with dimension d-1 and order h. Since  $\mathcal{T}$  is an inverse linear difference transformation,  $V \cap \mathcal{L}$  is an irreducible difference variety over  $\mathcal{F}\langle u_0, u_1, \ldots, u_n \rangle$  with dimension d-1 and order h. Thus it suffices to prove the above claim.

Suppose  $\zeta = (u_0, y_2, \ldots, y_d, \eta_{d+1}, \ldots, \eta_n)$  is a generic zero of  $\operatorname{sat}(\mathcal{A}) \subset \mathcal{F}\langle U \rangle \{\mathbb{Y}\}$ . Let  $\mathbb{I}(\zeta)$  be the difference polynomial ideal in  $\mathcal{F}\langle U, u_0 \rangle \{\mathbb{Y}\}$  with  $\zeta$  as a generic zero. Clearly,  $[\operatorname{sat}(\mathcal{A}), B_0] \subset \mathbb{I}(\zeta)$ . Conversely, for any  $f \in \mathbb{I}(\zeta)$ , there exists  $M(u_0) \in \mathcal{F}\{u_0\}$  such that  $M(u_0)f \in \mathcal{F}\langle U \rangle \{u_0, \mathbb{Y}\}$ . Then  $M(u_0)f(\zeta) = 0$ . Let  $f_1 = \operatorname{prem}(M(u_0)f, y_1 - u_0)$ , i.e.  $M(u_0)f \equiv f_1 \mod [y_1 - u_0]$ , then  $f_1 \in \mathcal{F}\langle U \rangle \{u_0, \mathbb{Y}\}$  is free from  $y_1$ . On the one hand, replace  $u_0$  by  $y_1$  in  $f_1$  and denote the obtained polynomial by  $\tilde{f}_1$ , then  $\tilde{f}_1 \in \mathcal{F}\langle U \rangle \{\mathbb{Y}\}$  vanishes at  $\zeta$  and  $\tilde{f}_1 - f_1 \in [y_1 - u_0]$ . Hence  $\tilde{f}_1 \in \operatorname{sat}(\mathcal{A})$  and  $f_1 \in [\operatorname{sat}(\mathcal{A}), B_0]$ . Thus,  $f \in [\operatorname{sat}(\mathcal{A}), B_0]$ . So  $[\operatorname{sat}(\mathcal{A}), B_0] = \mathbb{I}(\zeta)$  is a reflexive prime difference ideal. On the other hand, let  $r = \operatorname{prem}(f_1, \mathcal{B})$  and  $\tilde{r}$  be obtained by replacing  $u_0$  with  $y_1$  in r. Then  $\tilde{r} \in \operatorname{sat}(\mathcal{A})$  is reduced w.r.t.  $\mathcal{A}$ . Thus,  $\tilde{r} = 0$  and r = 0 follows. That is,  $\mathcal{B}$  reduces all element in  $\mathbb{I}(\zeta)$  to zero. Since  $\mathcal{B} \subset \mathbb{I}(\zeta) = [\operatorname{sat}(\mathcal{A}), B_0]$ ,  $\mathcal{B}$  is a characteristic set of [\operatorname{sat}(\mathcal{A}), B\_0] w.r.t.  $\mathscr{R}$ .  $\Box$ 

#### 5. Intersection theory for generic difference polynomials

In this section, we will develop an intersection theory for generic difference polynomials, which is a difference analog of [6, Theorem 1.1].

**Definition 5.1.** Let  $\mathbb{m}_{s,r}$  be the set of all difference monomials in  $\mathcal{F}\{\mathbb{Y}\}$  of order  $\leq s$  and degree  $\leq r$  (r > 0). Let  $\mathbf{u} = \{u_m\}_{m \in \mathbb{m}_{s,r}}$  be a set of difference indeterminates over  $\mathcal{F}$ . Then,

$$\mathbb{P} = \sum_{m \in \mathfrak{m}_{s,r}} u_m m$$

is called a *generic difference polynomial* of order s and degree r. And the corresponding set **u** is called the *coefficient set* of  $\mathbb{P}$ . A *generic difference hypersurface* is the set of zeros of a generic difference polynomial.

Our first goal is to show that by adding a generic difference polynomial to a reflexive prime difference ideal, the new ideal is still reflexive prime and its dimension will decrease by one. Throughout the paper, a generic difference polynomial is always assumed to be of degree greater than zero.

**Theorem 5.2.** Let  $\mathcal{I}$  be a reflexive prime difference ideal in  $\mathcal{F}\{\mathbb{Y}\}$  of dimension d. Let  $\mathbb{P}$  be a generic difference polynomial of order s with coefficient set  $\mathbf{u}$ . If d > 0, then  $[\mathcal{I}, \mathbb{P}]_{\mathcal{F}\{\mathbf{u}\}}\{\mathbb{Y}\}$  is a reflexive prime difference ideal of dimension d - 1. And if d = 0, then  $[\mathcal{I}, \mathbb{P}]_{\mathcal{F}\{\mathbf{u}\}}\{\mathbb{Y}\} = \mathcal{F}\{\mathbf{u}\}\{\mathbb{Y}\}$ .

**Proof.** Suppose  $u_0$  is the degree zero term of  $\mathbb{P}$ . Denote  $\widetilde{\mathbb{P}} = \mathbb{P} - u_0$  and  $\widetilde{\mathbf{u}} = \mathbf{u} \setminus \{u_0\}$ . Let  $\xi$  be a generic zero of  $\mathcal{I}$  over  $\mathcal{F}$  that is free from  $\mathbf{u}$ . Let  $\mathcal{J} = [\mathcal{I}, \mathbb{P}]_{\mathcal{F}(\widetilde{\mathbf{u}})\{\mathbb{Y}, u_0\}}$ . It is easy to show that  $(\xi, -\widetilde{\mathbb{P}}(\xi))$  is a generic zero of  $\mathcal{J}$ . Thus,  $\mathcal{J}$  is a reflexive prime difference ideal with  $\dim(\mathcal{J}) = \sigma$ .tr.deg  $\mathcal{F}\langle \widetilde{\mathbf{u}} \rangle \langle \xi, -\widetilde{\mathbb{P}}(\xi) \rangle / \mathcal{F}\langle \widetilde{\mathbf{u}} \rangle = \sigma$ .tr.deg  $\mathcal{F}\langle \widetilde{\mathbf{u}} \rangle \langle \xi \rangle / \mathcal{F}\langle \widetilde{\mathbf{u}} \rangle = d$ . If d = 0, then  $\mathcal{J} \cap \mathcal{F}\langle \widetilde{\mathbf{u}} \rangle \{u_0\} \neq \{0\}$  and  $[\mathcal{I}, \mathbb{P}]_{\mathcal{F}\langle \mathbf{u} \rangle \{\mathbb{Y}\}} = \mathcal{F}\langle \mathbf{u} \rangle \{\mathbb{Y}\}$ .

It remains to consider the case d > 0. Without loss of generality, suppose  $\{y_1, \ldots, y_d\}$ is a parametric set of  $\mathcal{I}$ . We claim that  $\{y_1, \ldots, y_{d-1}, u_0\}$  is a parametric set of  $\mathcal{J}$ over  $\mathcal{F}\langle \tilde{\mathbf{u}} \rangle$ . Suppose the contrary. Then  $\xi_1, \ldots, \xi_{d-1}, -\tilde{\mathbb{P}}(\xi)$  are transformally dependent over  $\mathcal{F}\langle \tilde{\mathbf{u}} \rangle$ . Now specialize the coefficient of  $y_k$  in  $\mathbb{P}$  to -1 and all the other  $u \in \tilde{\mathbf{u}}$ to zero, then by Lemma 2.2,  $\xi_1, \ldots, \xi_d$  are transformally dependent over  $\mathcal{F}$ , a contradiction. So  $\mathcal{J} \cap \mathcal{F}\langle \tilde{\mathbf{u}} \rangle \{y_1, \ldots, y_{d-1}, u_0\} = \{0\}$ . Thus,  $[\mathcal{J}]_{\mathcal{F}\langle \mathbf{u} \rangle \{\mathbb{Y}\}} \neq [1]$  is a reflexive prime difference ideal and  $[\mathcal{I}, \mathbb{P}] \cap \mathcal{F}\langle \mathbf{u} \rangle \{y_1, \ldots, y_{d-1}\} = \{0\}$ . For each  $y_k$   $(k \geq d)$ , since  $\mathcal{J} \cap \mathcal{F}\langle \tilde{\mathbf{u}} \rangle \{y_1, \ldots, y_{d-1}, y_k, u_0\} \neq \{0\}, [\mathcal{I}, \mathbb{P}] \cap \mathcal{F}\langle \mathbf{u} \rangle \{y_1, \ldots, y_{d-1}, y_k\} \neq \{0\}$ . Hence,  $[\mathcal{I}, \mathbb{P}] \subset \mathcal{F}\langle \mathbf{u} \rangle \{\mathbb{Y}\}$  is a reflexive prime difference ideal of dimension d - 1.  $\Box$ 

Next, we consider the order of the intersection of an irreducible difference variety by a generic difference hypersurface. Before proving the main result, we need two lemmas.

**Lemma 5.3.** Let  $\mathcal{I}$  be a reflexive prime difference ideal in  $\mathcal{F}\{u_1,\ldots,u_q,y_1,\ldots,y_p\}$  and

$$\mathcal{A} = \begin{cases} A_{11}, \dots, A_{1l_1} \\ A_{21}, \dots, A_{2l_2} \\ \dots \\ A_{p1}, \dots, A_{pl_p} \end{cases}$$

be a characteristic set of  $\mathcal{I}$  w.r.t. the elimination ranking  $u_1 \prec \cdots \prec u_q \prec y_1 \prec \cdots \prec y_p$ with  $\operatorname{lvar}(A_{ij}) = y_i$ . Suppose  $f \in \mathcal{I}$  is reduced w.r.t.  $A_{21}, \ldots, A_{pl_p}$ . Rewrite f in the following form:  $f = \sum_{\phi} f_{\phi}(u_1, \ldots, u_q, y_1)\phi(y_2, \ldots, y_p)$ , where  $\phi$  ranges over all distinct difference monomials appearing effectively in f and  $f_{\phi} \in \mathcal{F}\{u_1, \ldots, u_q, y_1\}$ . Then for each  $\phi$ ,  $f_{\phi}(u_1, \ldots, u_q, y_1) \in \mathcal{I}$ .

**Proof.** Denote  $\mathcal{B} = A_{11}, \ldots, A_{1l_1}$ . Since  $f \in \mathcal{I}$  is reduced w.r.t.  $A_{21}, \ldots, A_{pl_p}$ , the difference remainder of f w.r.t.  $\mathcal{B}$  is zero. Let  $\mathcal{B}_f = B_1, \ldots, B_s$ . Then aprem $(f, \mathcal{B}_f) = 0$ . Suppose  $\mathrm{ld}(B_i) = y_1^{(o_1+i-1)}$   $(i = 1, \ldots, s)$ . Now we proceed to construct an algebraic triangular set  $\mathcal{C} = C_1, \ldots, C_s$  contained in  $\mathcal{I}$  such that 1)  $\mathrm{ld}(C_i) = \mathrm{ld}(B_i)$ , 2)  $\mathrm{I}_{C_i} \in \mathcal{F}\{u_1, \ldots, u_q\}[y_1^{[o_1-1]}]$  and 3) aprem $(f, \mathcal{C}) = 0$ . Set  $C_1 = B_1$ . For i = 2, if  $\mathrm{ord}(\mathrm{I}_{B_2}, y_1) = o_1 - 1$ , then set  $C_2 = B_2$ . Otherwise,  $\mathrm{ord}(\mathrm{I}_{B_2}, y_1) = o_1$ . Let R be the Sylvester resultant of  $\mathrm{I}_{B_2}$  and  $B_1$  w.r.t.  $y_1^{(o_1)}$ . Since  $\mathcal{B}_f$  is a regular chain [5, Theorem 4.1],  $R \neq 0$  and there exist polynomials  $D_1, D_2$  such that  $R = D_1B_1 + D_2\mathrm{I}_{B_2}$ . Let  $C_2 = \mathrm{aprem}(D_2B_2, B_1)$ . Clearly,  $C_2 \in \mathcal{I}$ ,  $\mathrm{ld}(C_2) = y_1^{(o_1+1)}$  and  $\mathrm{I}_{C_2} = R \in \mathcal{F}\{u_1, \ldots, u_q\}[y_1^{[o_1-1]}]$ . Similarly in this way,  $\mathcal{C} = C_1, \ldots, C_s$  can be constructed.

For each  $\phi$ , let  $r_{\phi} = \operatorname{aprem}(f_{\phi}, \mathcal{C})$ . Then there exist integers  $l_{\phi i}$  s.t.  $\prod_{i=1}^{s} (\operatorname{I}(C_i))^{l_{\phi i}} f_{\phi} \equiv r_{\phi} \mod (\mathcal{C})$ . Let  $l = \max_{\phi, i} \{l_{\phi i}\}$ . Then  $\sum_{\phi} \prod_{i=1}^{s} (\operatorname{I}(C_i))^{l-l_{\phi i}} r_{\phi} \phi(y_2, \ldots, y_p)$  belongs to  $\mathcal{I}$  and is reduced w.r.t.  $\mathcal{B}$ . Thus, for each  $\phi$ ,  $r_{\phi} = 0$  and  $f_{\phi} \in \mathcal{I}$  follows.  $\Box$ 

**Lemma 5.4.** Let S be a system of difference polynomials in  $\mathcal{F}\{\mathbb{Y}\}$ . Suppose  $\mathbb{V}(S)$  has an irreducible component V of dimension d and order h with  $\mathbb{I}(V) \cap \mathcal{F}\{y_1\} = \{0\}$ . Let  $\overline{S}$  be obtained from S by replacing  $y_1^{(k)}$  by  $y_1^{(k+1)}$  (k = 0, 1, ...) in all of the polynomials in S. Then the variety of  $\overline{S}$  has a component  $\overline{V}$  of dimension d and order  $h_1$  such that  $h \leq h_1 \leq h+1$ .

**Proof.** Let  $\eta = (\eta_1, \ldots, \eta_n)$  be a generic point of V. Since  $\mathcal{I}(V) \cap \mathcal{F}\{y_1\} = \{0\}, \eta_1$  is transformally transcendental over  $\mathcal{F}$ . So  $\mathcal{I} = [z^{(1)} - \eta_1] \subset \mathcal{F}\langle\eta\rangle\{z\}$  is a reflexive prime difference ideal of dimension 0 and order 1. Let  $\zeta$  be a generic zero of  $\mathcal{I}$ . Clearly,  $(\zeta, \eta_2, \ldots, \eta_n)$  is a difference solution of  $\overline{\mathcal{S}}$ .

Suppose  $(\zeta, \eta_2, \ldots, \eta_n)$  lies in a component  $\overline{V}$  of  $\overline{S}$ , which has a generic point  $\xi = (\xi_1, \ldots, \xi_n)$ . Then  $(\xi_1, \xi_2, \ldots, \xi_n)$  specializes to  $(\zeta, \eta_2, \ldots, \eta_n)$ , and  $(\xi_1^{(1)}, \xi_2, \ldots, \xi_n)$  specializes to  $(\eta_1, \eta_2, \ldots, \eta_n)$  correspondingly. Since  $(\eta_1, \eta_2, \ldots, \eta_n)$  is a generic point of V and  $(\xi_1^{(1)}, \xi_2, \ldots, \xi_n)$  is a zero of S,  $(\xi_1^{(1)}, \xi_2, \ldots, \xi_n)$  is a generic point of V. So for sufficiently large  $t \in \mathbb{N}$ , tr.deg  $\mathcal{F}(\xi_1^{(1)}, \ldots, \xi_1^{(t+1)}, \xi_2^{[t]}, \ldots, \xi_n^{[t]})/\mathcal{F} = d(t+1) + h$ . Since tr.deg  $\mathcal{F}\langle\eta\rangle\langle\zeta\rangle/\mathcal{F}\langle\eta\rangle = 1$ , tr.deg  $\mathcal{F}\langle\xi_1^{(1)}, \xi_2, \ldots, \xi_n\rangle\langle\xi_1\rangle/\mathcal{F}\langle\xi_1^{(1)}, \xi_2, \ldots, \xi_n\rangle = 1$ . Thus,

$$\begin{aligned} \varphi_{\overline{V}}(t) &= \operatorname{tr.deg} \mathcal{F}\big(\xi_1^{[t]}, \dots, \xi_n^{[t]}\big) / \mathcal{F} \\ &= \operatorname{tr.deg} \mathcal{F}\big(\xi_1^{[t+1]}, \dots, \xi_n^{[t]}\big) / \mathcal{F} - \operatorname{tr.deg} \mathcal{F}\big(\xi^{[t]}\big) \big(\xi^{(t+1)}\big) / \mathcal{F}\big(\xi^{[t]}\big) \\ &= d(t+1) + h + 1 - \operatorname{tr.deg} \mathcal{F}\big(\xi^{[t]}\big) \big(\xi^{(t+1)}\big) / \mathcal{F}\big(\xi^{[t]}\big). \end{aligned}$$

Consequently,  $\varphi_{\overline{V}}(t) = d(t+1) + h_1$  where  $h \le h_1 \le h+1$ . By Lemma 3.2, the proof is completed.  $\Box$ 

With the above preparations, we now propose the main theorem in this section.

**Theorem 5.5.** Let  $\mathcal{I}$  be a reflexive prime difference ideal in  $\mathcal{F}\{\mathbb{Y}\}$  of dimension d > 0 and order h. Let  $\mathbb{P}$  be a generic difference polynomial of order s with coefficient set  $\mathbf{u}$ . Then  $[\mathcal{I}, \mathbb{P}]_{\mathcal{F}\{\mathbf{u}\}}\{\mathbb{Y}\}$  is a reflexive prime difference ideal of dimension d - 1 and order h + s.

**Proof.** Let  $\mathcal{I}_1 = [\mathcal{I}, \mathbb{P}]_{\mathcal{F}(\mathbf{u})\{\mathbb{Y}\}}$ . By Lemma 5.2,  $\mathcal{I}_1$  is a reflexive prime difference ideal of dimension d-1. We only need to show that the order of  $\mathcal{I}_1$  is h+s.

Let  $\mathcal{A}$  be a characteristic set of  $\mathcal{I}$  w.r.t. some orderly ranking  $\mathscr{R}$  with  $y_1, \ldots, y_d$  as a parametric set. By Theorem 3.2,  $\operatorname{ord}(\mathcal{A}) = h$ . Let  $u_0$  be the degree zero term of  $\mathbb{P}$  and  $\widetilde{\mathbf{u}} = \mathbf{u} \setminus \{u_0\}$ . Let  $\mathcal{J} = [\mathcal{I}, \mathbb{P}]_{\mathcal{F}(\widetilde{\mathbf{u}})\{\mathbb{Y}, u_0\}}$ . By the proof of Theorem 5.2,  $\mathcal{J}$  is a reflexive prime difference ideal of dimension d. Clearly,  $\mathcal{I}_1 \cap \mathcal{F}\langle \widetilde{\mathbf{u}} \rangle \{\mathbb{Y}, u_0\} = \mathcal{J}$ . So any characteristic set of  $\mathcal{I}_1$ , by clearing denominators in  $\mathcal{F}\langle \widetilde{\mathbf{u}} \rangle \{u_0\}$  when necessary, is a characteristic set of  $\mathcal{J}$  with  $u_0$  in the parametric set. By Theorem 3.7, we have  $\operatorname{ord}(\mathcal{I}_1) \leq \operatorname{ord}(\mathcal{J})$ .

We claim that  $\operatorname{ord}(\mathcal{J}) \leq h+s$ . As a consequence,  $\operatorname{ord}(\mathcal{I}_1) \leq h+s$ . To prove this claim, let  $\mathcal{J}^{(i)} = [\mathcal{I}, u_0^{(i)} + \widetilde{\mathbb{P}}]_{\mathcal{F}(\widetilde{\mathbf{u}}) \{ \mathbb{Y}, u_0 \}}$   $(i = 0, \ldots, s)$ . Similarly to the proof of Theorem 5.2, we can show that  $\mathcal{J}^{(i)}$  is a reflexive prime difference ideal of dimension d. Let F be the difference remainder of  $u_0^{(s)} + \widetilde{\mathbb{P}}$  w.r.t.  $\mathcal{A}$  under the ranking  $\mathscr{R}$ . Clearly,  $\operatorname{ord}(F, u_0) = s$ . It is obvious that for some orderly ranking,  $\{\mathcal{A}, F\}$  is a characteristic set of  $\mathcal{J}^{(s)}$  with  $y_1, \ldots, y_d$  as a parametric set. So  $\operatorname{ord}(\mathcal{J}^{(s)}) = h + s$ . Using Lemma 5.4 s times, we have  $\operatorname{ord}(\mathcal{J}) \leq \operatorname{ord}(\mathcal{J}^{(1)}) \leq \cdots \leq \operatorname{ord}(\mathcal{J}^{(s)}) = h + s$ .

Now, it remains to prove  $\operatorname{ord}(\mathcal{I}_1) \geq h + s$ . Let  $\mathbb{P} = u_0 + \sum_{i=1}^n \sum_{j=0}^s u_{ij} y_i^{(j)} + T$ , where T is the nonlinear part of  $\mathbb{P}$ . Let  $w = u_0 + \sum_{i=1}^d \sum_{j=0}^s u_{ij} y_i^{(j)}$  be a new difference indeterminate. Let  $\mathbf{u}_G$  be the set of coefficients of  $G = w + \sum_{i=d+1}^n \sum_{j=0}^s u_{ij} y_i^{(j)} + T$ regarded as a difference polynomial in w and  $\mathbb{Y}$ . We denote  $\mathcal{F}_1 = \mathcal{F} \langle \mathbf{u}_G \rangle$ . It is easy to show that  $\mathcal{J}_1 = [\mathcal{I}, G] \subset \mathcal{F}_1\{y_1, \ldots, y_n, w\}$  is a reflexive prime difference ideal with a generic zero  $(\xi, -\sum_{i=d+1}^n \sum_{j=0}^s u_{ij}\xi_i^{(j)} - T(\xi))$ , where  $\xi = (\xi_1, \ldots, \xi_n)$  is a generic zero of  $\mathcal{I}$ . So  $y_1, \ldots, y_d$  is a parametric set of  $\mathcal{J}_1$  and  $\operatorname{ord}_{y_1, \ldots, y_d} \mathcal{J}_1 = \operatorname{ord}_{y_1, \ldots, y_d} \mathcal{I} = h$ . Let

$$\mathcal{B} = \begin{cases} R(y_1, \dots, y_d, w), R_1(y_1, \dots, y_d, w), \dots, R_l(y_1, \dots, y_d, w) \\ B_{11}(y_1, \dots, y_d, w, y_{d+1}), \dots, B_{1l_1}(y_1, \dots, y_d, w, y_{d+1}) \\ \dots \\ B_{n-d,1}(y_1, \dots, y_d, w, \dots, y_n), \dots, B_{n-d,l_{n-d}}(y_1, \dots, y_d, w, \dots, y_n) \end{cases}$$

be a characteristic set of  $\mathcal{J}_1$  w.r.t. the elimination ranking  $y_1 \prec \cdots \prec y_d \prec w \prec y_{d+1} \prec \cdots \prec y_n$ . Then by Theorem 3.5,  $\operatorname{ord}(\mathcal{B}) = \operatorname{ord}_{y_1,\ldots,y_d} \mathcal{J}_1 = h$ .

Let  $\mathbf{u}_d = \{u_{ij}: i = 1, \dots, d; j = 0, \dots, s\}$ . Then  $[\mathcal{J}_1] \subset \mathcal{F}_1 \langle \mathbf{u}_d \rangle \{w, y_1, \dots, y_n\}$  is also a reflexive prime difference ideal with  $\mathcal{B}$  as a characteristic set w.r.t. the elimination ranking  $y_1 \prec \cdots \prec y_d \prec w \prec y_{d+1} \prec \cdots \prec y_n$ . Let

$$\phi: \mathcal{F}_1 \langle \mathbf{u}_d \rangle \{y_1, \dots, y_n, w\} \to \mathcal{F}_1 \langle \mathbf{u}_d \rangle \{y_1, \dots, y_n, u_0\}$$
$$w \qquad \qquad u_0 + \sum_{i=1}^d \sum_{j=0}^s u_{ij} y_i^{(j)}$$
$$y_i \qquad \qquad y_i$$

be a difference homomorphism over  $\mathcal{F}_1(\mathbf{u}_d)$ . Clearly, this is a difference isomorphism which maps  $[\mathcal{J}_1]$  to  $\mathcal{J}$ . It is obvious that  $\phi(R), \phi(R_1), \ldots, \phi(R_l), \phi(B_{11}), \ldots, \phi(B_{n-d,l_{n-d}})$ is a characteristic set of  $\mathcal{J}$  w.r.t. the elimination ranking  $y_1 \prec \cdots \prec y_d \prec u_0 \prec y_{d+1} \prec \cdots \prec y_n$  and  $\operatorname{ld}(\phi(B_{ij})) = \operatorname{ld}(B_{ij})$   $(i = 1, \ldots, n - d; j = 1, \ldots, l_i)$ . We claim that  $\operatorname{ord}(\phi(R), y_1) \ge \operatorname{ord}(R, w) + s$ . Denote  $\operatorname{ord}(R, w) = o$ . If  $\operatorname{ord}(R, y_1) \ge o + s$ , rewrite R in the form  $R = \sum_{\psi_{\nu}(w) \neq 1} p_{\nu}(y_1, \ldots, y_d) \psi_{\nu}(w) + p(y_1, \ldots, y_d)$  where  $\psi_{\nu}(w)$  are monomials in w and its transforms. Then

$$\phi(R) = \sum_{\psi_{\nu} \neq 1} p_{\nu}(y_1, \dots, y_d) \psi_{\nu} \left( u_0 + \sum_{i=1}^d \sum_{j=0}^s u_{ij} y_i^{(j)} \right) + p(y_1, \dots, y_d)$$

$$=\sum_{\psi_{\nu}\neq 1}p_{\nu}(y_1,\ldots,y_d)\psi_{\nu}(u_0)+p(y_1,\ldots,y_d)$$

+ terms involving  $u_{ij}$  (i = 1, ..., d; j = 0, ..., s) and their transforms.

Clearly, in this case we have  $\operatorname{ord}(\phi(R), y_1) \ge \max\{\operatorname{ord}(p_{\nu}, y_1), \operatorname{ord}(p, y_1)\} = \operatorname{ord}(R, y_1) \ge o + s$ . If  $\operatorname{ord}(R, y_1) < o + s$ , rewrite R as a polynomial in  $w^{(o)}$ , that is,  $R = I_l(w^{(o)})^l + I_{l-1}(w^{(o)})^{l-1} + \dots + I_0$ . Then  $\phi(R) = \phi(I_l)[(u_0^{(o)} + \sum_{i=1}^d \sum_{j=0}^s u_{ij}^{(o)} y_i^{(o+j)})]^l + \phi(I_{l-1})[(u_0^{(o)} + \sum_{i=1}^d \sum_{j=0}^s u_{ij}^{(o)} y_i^{(o+j)})]^{l-1} + \dots + \phi(I_0)$ . Since  $\operatorname{ord}(\phi(I_k), y_1) < o + s$   $(k = 0, \dots, l)$ , we have exactly  $\operatorname{ord}(\phi(R), y_1) = o + s$ . Thus, consider the two cases together,  $\operatorname{ord}(\phi(R), y_1) \ge \operatorname{ord}(R, w) + s$ .

Since  $\mathcal{J} \cap \mathcal{F}_1 \langle \mathbf{u}_d \rangle \{y_1, \ldots, y_d, u_0\}$  is a reflexive prime difference ideal of codimension 1, by Lemma 3.6,  $\phi(R)$  can serve as the first difference polynomial in a characteristic set of  $\mathcal{J} \cap \mathcal{F}_1 \langle \mathbf{u}_d \rangle \{y_1, \ldots, y_d, u_0\}$  w.r.t. any ranking. Suppose  $\phi(R), \widetilde{R}_1, \ldots, \widetilde{R}_{\widetilde{l}}$  is a characteristic set of  $\mathcal{J} \cap \mathcal{F}_1 \langle \mathbf{u}_d \rangle \{y_1, \ldots, y_d, u_0\}$  w.r.t. the elimination ranking  $u_0 \prec y_2 \prec \cdots \prec y_d \prec y_1$ . By Lemma 5.3,  $\phi(R), \widetilde{R}_1, \ldots, \widetilde{R}_{\widetilde{l}}, \phi(B_{11}), \ldots, \phi(B_{n-d,l_{n-d}})$  is a characteristic set of  $\mathcal{J}$ w.r.t. the elimination ranking  $u_0 \prec y_2 \prec \cdots \prec y_d \prec y_1 \prec y_{d+1} \prec \cdots \prec y_n$ , thus a characteristic set of  $\mathcal{I}_1$ . By Theorem 3.7,  $\operatorname{ord}(\mathcal{I}_1) \ge \operatorname{ord}_{y_2,\ldots,y_d} \mathcal{I}_1 = \operatorname{ord}(\phi(R), y_1) + \sum_{i=1}^{n-d} \operatorname{ord}(\phi(B_{i1}), y_{d+i}) \ge \operatorname{ord}(R, w) + s + \sum_{i=1}^{n-d} \operatorname{ord}(B_{i1}, y_{d+i}) = \operatorname{ord}(\mathcal{B}) + s = h + s$ . Thus, the order of  $\mathcal{I}_1$  is h + s.  $\Box$ 

As a corollary, we give the dimension theorem for generic difference polynomials.

**Theorem 5.6.** Let  $f_1, \ldots, f_r$   $(r \le n)$  be independent generic difference polynomials with each  $f_i$  of order  $s_i$ . Then  $[f_1, \ldots, f_r]$  is a reflexive prime difference ideal of dimension n - r and order  $\sum_{i=1}^r s_i$  over  $\mathcal{F}\langle \mathbf{u}_{f_1}, \ldots, \mathbf{u}_{f_r} \rangle$ .

**Proof.** We will prove the theorem by induction on r. Let  $\mathcal{I} = [0] \subset \mathcal{F}\{\mathbb{Y}\}$ . Clearly, I is a reflexive prime difference ideal of dimension n and order 0. For r = 1, by Theorem 5.5,  $[f_1] = [\mathcal{I}, f_1]$  is a reflexive prime difference ideal of dimension n-1 and order  $s_1$ . So the assertion holds for r = 1. Now suppose the assertion holds for r-1, we now prove it for r. By the hypothesis,  $\mathcal{I}_{r-1} = [f_1, \ldots, f_{r-1}]$  is a reflexive prime difference ideal of dimension n-r+1 and order  $\sum_{i=1}^{r-1} s_i$  over  $\mathcal{F}\langle \mathbf{u}_{f_1}, \ldots, \mathbf{u}_{f_{r-1}} \rangle$ . Since  $f_1, \ldots, f_r$  are independent generic difference polynomials, using Theorem 5.5 again,  $\mathcal{I}_r = [f_1, \ldots, f_r]$  is a reflexive prime difference ideal of dimension n-r and order  $\sum_{i=1}^r s_i$  over  $\mathcal{F}\langle \mathbf{u}_{f_1}, \ldots, \mathbf{u}_{f_r} \rangle$ . Thus, the theorem is proved.  $\Box$ 

**Remark 5.7.** Notice that Theorem 4.4 is a special case of Theorem 5.5, and the proof of Theorem 5.5 gives its alternative proof.

#### 6. The Chow form for an irreducible difference variety

In this section, we will first define the difference Chow form for an irreducible difference variety, then give its basic properties.

#### 6.1. Definition of difference Chow form

Let V be an irreducible difference variety over  $\mathcal{F}$  of dimension d and  $\mathcal{I} = \mathbb{I}(V) \subset \mathcal{F}\{\mathbb{Y}\}$ . Let

$$\mathbb{P}_{i} = u_{i0} + u_{i1}y_{1} + \dots + u_{in}y_{n} \quad (i = 0, \dots, d)$$
(2)

be d + 1 generic difference hyperplanes. Denote  $\mathbf{u}_i = (u_{i0}, u_{i1}, \dots, u_{in})$  for each  $i = 0, \dots, d$  and  $\mathbf{u} = \bigcup_{i=0}^n \mathbf{u}_i \setminus \{u_{i0}\}.$ 

**Lemma 6.1.**  $[\mathcal{I}, \mathbb{P}_0, \ldots, \mathbb{P}_d]_{\mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d, \mathbb{Y}\}} \cap \mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d\}$  is a reflexive prime difference ideal of codimension one.

**Proof.** Let  $\xi = (\xi_1, \ldots, \xi_n)$  be a generic zero of  $\mathcal{I}$  which is free from  $\mathcal{F}\langle \mathbf{u}_1, \ldots, \mathbf{u}_d \rangle$ . Denote  $\zeta_i = -\sum_{j=1}^n u_{ij}\xi_j$  and  $\zeta = (\zeta_0, u_{01}, \ldots, u_{0n}, \ldots, \zeta_d, u_{d1}, \ldots, u_{dn})$ . We claim that  $(\zeta, \xi)$  is a generic zero of  $\mathcal{J} = [\mathcal{I}, \mathbb{P}_0, \ldots, \mathbb{P}_d] \subset \mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d, \mathbb{Y}\}$ . It is obvious that  $(\zeta, \xi)$  is a zero of  $\mathcal{J}$ . Let g be any nonzero difference polynomial in  $\mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d, \mathbb{Y}\}$  which vanishes at  $(\zeta, \xi)$ . Choose an elimination ranking such that  $\mathbf{u} \prec \mathbb{Y} \prec u_{00} \prec \cdots \prec u_{d0}$ . Then  $\mathbb{P}_0, \ldots, \mathbb{P}_d$  constitute an ascending chain. Let  $r = \operatorname{prem}(g, \mathbb{P}_0, \ldots, \mathbb{P}_d)$ . Then  $r \in \mathcal{F}\{\mathbf{u}, \mathbb{Y}\}$  and  $g \equiv r \mod [\mathbb{P}_0, \ldots, \mathbb{P}_d]$ . Clearly,  $r(\mathbf{u}, \xi) = 0$ . Since  $\mathbf{u}$  is a set of difference indeterminates over  $\mathcal{F}\langle\xi\rangle, r \in [\mathcal{I}] \subset \mathcal{F}\{\mathbf{u}, \mathbb{Y}\}$ . Hence,  $g \in \mathcal{J}$  and it follows that  $(\zeta, \xi)$  is a generic zero of  $\mathcal{J}$ . Thus  $[\mathcal{I}, \mathbb{P}_0, \mathbb{P}_1, \ldots, \mathbb{P}_d] \cap \mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d\}$  is a reflexive prime difference ideal with generic zero  $\zeta$ .

To show that the codimension of  $[\mathcal{I}, \mathbb{P}_0, \mathbb{P}_1, \ldots, \mathbb{P}_d] \cap \mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d\}$  is 1, it suffices to show that  $\sigma.\text{tr.deg } \mathcal{F}\langle \mathbf{u} \rangle \langle \zeta_0, \ldots, \zeta_d \rangle / \mathcal{F} \langle \mathbf{u} \rangle = d$ . Since  $\sigma.\text{tr.deg } \mathcal{F}\langle \xi \rangle / \mathcal{F} = d$  and  $\zeta_i \in \mathcal{F}\langle \mathbf{u}, \xi \rangle$ ,  $\sigma.\text{tr.deg } \mathcal{F}\langle \mathbf{u} \rangle \langle \zeta_0, \ldots, \zeta_d \rangle / \mathcal{F} \langle \mathbf{u} \rangle \leq \sigma.\text{tr.deg } \mathcal{F}\langle \mathbf{u} \rangle \langle \xi \rangle / \mathcal{F} \langle \mathbf{u} \rangle = d$ . It is trivial for the case d = 0. Now we prove it for the case d > 0 by showing that  $\zeta_1, \ldots, \zeta_d$  are transformally independent over  $\mathcal{F}\langle \mathbf{u} \rangle$ . Suppose the contrary. Without loss of generality, assume  $\xi_1, \ldots, \xi_d$  is a transformal transcendence basis of  $\mathcal{F}\langle \xi \rangle$  over  $\mathcal{F}$ . Now specialize  $u_{ij}$  to  $-\delta_{ij}$   $(i = 1, \ldots, d; j = 1, \ldots, n)$ , then by Lemma 2.2,  $\xi_1, \ldots, \xi_d$  are transformally dependent over  $\mathcal{F}$ , which is a contradiction. Thus  $\sigma.\text{tr.deg } \mathcal{F}\langle \mathbf{u} \rangle \langle \zeta_0, \ldots, \zeta_d \rangle / \mathcal{F}\langle \mathbf{u} \rangle = d$ and the lemma follows.  $\Box$ 

By Lemma 6.1 and Lemma 3.6, there exists a unique irreducible difference polynomial  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$  such that  $[\mathcal{I}, \mathbb{P}_0, \ldots, \mathbb{P}_d] \cap \mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d\}$  is a principal component of  $F^2$ . And from the point of view of characteristic sets, if we fix an arbitrary ranking  $\mathscr{R}$ , then there exist  $F_1, \ldots, F_l$  depending on  $\mathscr{R}$  such that  $[\mathcal{I}, \mathbb{P}_0, \ldots, \mathbb{P}_d] \cap \mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d\} = \operatorname{sat}(F, F_1, \ldots, F_l)$ .

<sup>&</sup>lt;sup>2</sup> In differential algebra, it is well known that an irreducible differential polynomial has only one general component. But in difference case, it is more complicated. In fact, an irreducible difference polynomial F may have more than one principal components depending on different basic sequences of F, which serve as characteristic sets of principal components. For the rigorous definition of *basic sequence*, please refer to [2].

**Definition 6.2.** The above difference polynomial  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$  is called the *difference Chow form* of V or the reflexive prime difference ideal  $\mathcal{I} = \mathbb{I}(V)$ , and we call the difference ideal  $[\mathcal{I}, \mathbb{P}_0, \ldots, \mathbb{P}_d] \cap \mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d\}$  the *difference Chow ideal* of V.

The following example shows that the characteristic set of a Chow ideal could indeed contain more than one element.

**Example 6.3.** Let  $\mathcal{F} = \mathbb{Q}(x)$  and  $\sigma(f(x)) = f(x+1)$  for each  $f \in \mathbb{Q}(x)$ . Then  $\mathcal{I} = [y_1^2 + 1, y_1^{(1)} - y_1]$  is a reflexive prime difference ideal in  $\mathcal{F}\{y_1\}$ . The difference Chow form of  $\mathcal{I}$  is  $F(\mathbf{u}_0) = u_{00}^2 + u_{01}^2$  and the difference Chow ideal of  $\mathcal{I}$  is  $\operatorname{sat}(u_{00}^2 + u_{01}^2, u_{01}u_{00}^{(1)} - u_{00}u_{01}^{(1)})$ .

In general, let  $\mathcal{I} = \operatorname{sat}(g(y_1), g_1(y_1), \ldots, g_s(y_1))$  be a reflexive prime ideal in  $\mathcal{F}\{y_1\}$ . Let  $F(\mathbf{u}_0) = M(u_{01})g(-\frac{u_{00}}{u_{01}})$  and  $F_i(\mathbf{u}_0) = M_i(u_{01})g_i(-\frac{u_{00}}{u_{01}})$  where  $M(u_{01})$  and  $M_i(u_{01})$  are the minimal difference monomials such that  $M(u_{01})g(-\frac{u_{00}}{u_{01}}), M_i(u_{01})g_i(-\frac{u_{00}}{u_{01}}) \in \mathcal{F}\{\mathbf{u}_0\}$ . Clearly, they are irreducible. The difference Chow ideal of  $\mathcal{I}$  is  $\operatorname{sat}(F(\mathbf{u}_0), F_1(\mathbf{u}_0), \ldots, F_s(\mathbf{u}_0))$  and the difference Chow form of  $\mathcal{I}$  is  $F(\mathbf{u}_0)$ . Indeed,  $\mathcal{A} = F, F_1, \ldots, F_s$  constitute an ascending chain in  $[\mathcal{I}, u_{00} + u_{01}y_1] \cap \mathcal{F}\{\mathbf{u}_0\}$  w.r.t. the elimination ranking  $u_{01} \prec u_{00}$ . And if H is a difference polynomial in  $[\mathcal{I}, u_{00} + u_{01}y_1] \cap \mathcal{F}\{\mathbf{u}_0\}$  which is reduced w.r.t.  $\mathcal{A}$ , then  $H(-u_{01}y_1, u_{01})$  is a difference polynomial in  $[\mathcal{I}] \subset \mathcal{F}\langle u_{01}\rangle\{y_1\}$  reduced w.r.t.  $g(y_1), g_1(y_1), \ldots, g_s(y_1)$ . Thus, H = 0 and  $\mathcal{A}$  is a characteristic set of  $[\mathcal{I}, u_{00} + u_{01}y_1] \cap \mathcal{F}\{\mathbf{u}_0\}$ .

**Example 6.4.** Let  $\mathcal{J} = [y_1^2 + 1, y_1^{(1)} + y_1]$  be a reflexive prime difference ideal in  $\mathbb{Q}(x)\{y_1\}$ . By Example 6.3, the difference Chow form of  $\mathcal{J}$  is  $F(\mathbf{u}_0) = u_{00}^2 + u_{01}^2$  and the difference Chow ideal of  $\mathcal{I}$  is sat $(u_{00}^2 + u_{01}^2, u_{01}u_{00}^{(1)} + u_{00}u_{01}^{(1)})$ . Notice that the difference Chow form of  $\mathcal{I} = [y_1^2 + 1, y_1^{(1)} - y_1]$  is equal to that of  $\mathcal{J}$ . So different reflexive prime difference ideals may have the same difference Chow form, which is quite different from the differential case where the correspondence between differential ideals and differential Chow forms is one-to-one. Although difference Chow forms cannot be used to distinguish different difference ideals, the correspondence between reflexive prime difference ideals and difference Chow ideals is one-to-one. So difference Chow ideals play an important role here.

**Example 6.5.** Let  $\mathcal{I} = [y_1^{(1)} - y_1, y_2^2 - y_1, y_2^{(1)} + y_2] \subset \mathbb{Q}\{y_1, y_2\}$ . Then  $\mathcal{I}$  is a reflexive prime difference ideal of dimension 0. The difference Chow form of  $\mathcal{I}$  is  $F(\mathbf{u}_0) = u_{01}u_{02}u_{02}^{(1)}u_{00}^{(1)} + u_{01}u_{00}(u_{02}^{(1)})^2 + u_{01}^2(u_{00}^{(1)})^2 - u_{01}u_{00}u_{01}^{(1)}u_{00}^{(1)} + u_{02}^2u_{01}^{(1)}u_{00}^{(1)} + u_{00}u_{02}u_{01}^{(1)}u_{02}^{(1)} - u_{01}u_{00}u_{01}^{(1)}u_{00}^{(1)} + u_{02}^2u_{01}^{(1)}u_{00}^{(1)} + u_{00}u_{02}u_{01}^{(1)}u_{00}^{(1)} - u_{01}u_{00}u_{01}^{(1)}u_{00}^{(1)} + u_{00}^2(u_{01}^{(1)})^2$  and the difference Chow ideal is sat( $F(\mathbf{u}_0), F_1(\mathbf{u}_0)$ ) with

$$F_1(\mathbf{u}_0) = \begin{vmatrix} u_{00} & u_{01} & u_{02} \\ u_{00}^{(1)} & u_{01}^{(1)} & -u_{02}^{(1)} \\ u_{00}^{(2)} & u_{01}^{(2)} & u_{02}^{(2)} \end{vmatrix}$$

The following lemma shows that the vanishing of the difference Chow form of V gives a necessary condition for a system of difference hyperplanes meeting V. **Theorem 6.6.** Let V be an irreducible difference variety over  $\mathcal{F}$  and  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$  its difference Chow form. Let  $\mathcal{L}_i: a_{i0} + a_{i1}y_1 + \cdots + a_{in}y_n = 0$   $(i = 0, \ldots, d)$  be d+1 difference hyperplanes defined over  $\mathscr{U}$  and denote  $\alpha_i = (a_{i0}, \ldots, a_{in})$ . If  $V \cap \mathcal{L}_1 \cap \cdots \cap \mathcal{L}_d \neq \emptyset$ , then the difference Chow ideal of V vanishes at  $(\alpha_0, \ldots, \alpha_d)$ . In particular,  $F(\alpha_0, \ldots, \alpha_d) = 0$ .

**Proof.** Suppose  $(\bar{y}_1, \ldots, \bar{y}_n) \in V \cap \mathcal{L}_1 \cap \cdots \cap \mathcal{L}_d \neq \emptyset$ . Then the difference ideal  $[\mathbb{I}(V), \mathbb{P}_0, \ldots, \mathbb{P}_d]$  vanishes at  $(\alpha_0, \ldots, \alpha_d, \bar{y}_1, \ldots, \bar{y}_n)$ . Thus,  $[\mathbb{I}(V), \mathbb{P}_0, \ldots, \mathbb{P}_d] \cap \mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d\}$ , in particular  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$ , vanishes at  $(\alpha_0, \ldots, \alpha_d)$ .  $\Box$ 

**Remark 6.7.** We remark that the difference characteristic set method proposed in [5] could be used to compute the difference Chow form of V if we know a set of finitely many generating difference polynomials for V.

#### 6.2. The order of difference Chow form

In this section, we will show that the order of the difference Chow form is actually equal to that of the corresponding difference variety.

**Lemma 6.8.** Let  $F(\mathbf{u}_0, \mathbf{u}_1, \dots, \mathbf{u}_d)$  be the difference Chow form of an irreducible variety V over  $\mathcal{F}$ . Then the following assertions hold.

- 1) Suppose  $F_{\rho\tau}$  is obtained from F by interchanging  $\mathbf{u}_{\rho}$  and  $\mathbf{u}_{\tau}$  in F. Then  $F_{\rho\tau}$  and F differ at most by a sign.
- 2)  $\operatorname{ord}(F, u_{ij})$   $(i = 0, \ldots, d; j = 0, \ldots, n)$  are the same for all  $u_{ij}$  appearing in F. In particular,  $u_{i0}$  appears effectively in F. And  $\operatorname{ord}(F, u_{ij}) = -\infty$  if and only if  $y_j \in \mathbb{I}(V)$ .
- 3)  $\operatorname{Eord}(F, u_{ij}) = \operatorname{ord}(F, u_{ij})$ , for all the i, j.

**Proof.** 1) Follow the notation in Lemma 6.1. Since **u** is a set of difference indeterminates over  $\mathcal{F}\langle\xi\rangle$ , the following difference automorphism  $\phi$  of  $\mathcal{F}\langle\xi\rangle\langle\mathbf{u}\rangle$  over  $\mathcal{F}\langle\xi\rangle$  can be defined:  $\phi(u_{ij}) = u_{ij}^* = \begin{cases} u_{ij}, i\neq\rho,\tau \\ u_{\tau j}, i=\rho \\ u_{\rho j}, i=\tau \end{cases}$ . Denote  $f(\mathbf{u}, u_{00}, \ldots, u_{d0}) = F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$ , then  $f(\mathbf{u}; \zeta_0, \ldots, \zeta_\rho, \ldots, \zeta_\tau, \ldots, \zeta_d) = 0$ . So  $\phi(f(\mathbf{u}; \zeta_0, \ldots, \zeta_d)) = f(\mathbf{u}^*; \zeta_0, \ldots, \zeta_\tau, \ldots, \zeta_r, \ldots, \zeta_r, \ldots, \zeta_d) = f(\mathbf{u}^*; u_{00}, \ldots, u_{\tau 0}, \ldots, u_{\rho 0}, \ldots, u_{d0})$ , then  $F_{\rho\tau}(\mathbf{u}; \zeta_0, \ldots, \zeta_d) = 0$ . Thus,  $F_{\rho\tau} \in \mathbb{I}(\zeta) = \operatorname{sat}(F, \ldots)$ . Since  $\operatorname{ord}(F_{\rho\tau}) = \operatorname{ord}(F)$ ,  $\operatorname{deg}(F_{\rho\tau}) = \operatorname{deg}(F)$  and  $F_{\rho\tau}$  has the same content as F, then  $F_{\rho\tau} = \pm F$ .

2) By Lemma 6.1 and 1), we obtain that each  $u_{i0}$  appears effectively in F with the same order. Suppose  $\operatorname{ord}(F, u_{i0}) = s$ . For  $j \neq 0$ , we consider  $\operatorname{ord}(F, u_{ij})$ . If  $\operatorname{ord}(F, u_{ij}) = l > s$ , then we differentiate  $f(\mathbf{u}; \zeta_0, \ldots, \zeta_d) = 0$  w.r.t.  $u_{ij}^{(l)}$  and we get  $\frac{\partial f}{\partial u_{ij}^{(l)}}(\mathbf{u}; \zeta_0, \ldots, \zeta_d) = 0$ , a contradiction. If  $\operatorname{ord}(F, u_{ij}) = l < s$ , differentiate  $f(\mathbf{u}; \zeta_0, \ldots, \zeta_d) = 0$  w.r.t.  $u_{ij}^{(l)} = 0$  w.r.t.  $u_{ij}^{(l)} = 0$  w.r.t.  $u_{ij}^{(s)}$ , then  $\frac{\partial f}{\partial u_{i0}^{(s)}}(\mathbf{u}, \zeta_0, \ldots, \zeta_d)(-\xi_j) = 0$ . Since  $\frac{\partial f}{\partial u_{i0}^{(s)}}(\mathbf{u}, \zeta_0, \ldots, \zeta_d) \neq 0$ ,  $\xi_j = 0$ . And  $y_j \in \mathbb{I}(V) \Leftrightarrow$ 

 $\xi_j = 0 \Leftrightarrow \zeta_i$  is free of  $u_{ij} \Leftrightarrow F$  is free from  $u_{ij}$ , thus  $\operatorname{ord}(f, u_{ij}) = s$  for all  $u_{ij}$  appearing in F.

3) Suppose  $\operatorname{Lord}(F, u_{i0}) = t$ . Similarly, we can prove that  $\operatorname{Lord}(F, u_{ij})$   $(i = 0, \ldots, d; j = 1, \ldots, n)$  are the same for all  $u_{ij}$  appearing in F. Set  $G = \sigma^{(-t)}(F)$ , since  $\operatorname{sat}(F, \ldots)$  is a reflexive prime difference ideal,  $G \in \operatorname{sat}(F, \ldots)$ . Since  $\operatorname{ord}(F) = \operatorname{ord}(G) + t$ , t = 0. Hence  $\operatorname{ord}(F, u_{ij}) = \operatorname{Eord}(F, u_{ij})$ .  $\Box$ 

**Definition 6.9.** The order of the difference Chow form is defined to be  $\operatorname{ord}(F) = \operatorname{ord}(F, u_{i0})$  for any  $i \in \{0, \ldots, d\}$ .

The following result shows that the difference characteristic set of  $[\mathcal{I}, \mathbb{P}_0, \ldots, \mathbb{P}_d]$  can be easily computed if the difference characteristic set of the difference Chow ideal is given.

**Lemma 6.10.** Let  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$  be the difference Chow form of a reflexive prime difference ideal  $\mathcal{I}$  and  $F, F_1, \ldots, F_l$  a characteristic set of the difference Chow ideal w.r.t. some ranking  $\mathscr{R}$  endowed on  $\bigcup_{i=0}^{d} \mathbf{u}_i$ . Then

$$\mathcal{A} = \left\{ F, F_1, \dots, F_l, \frac{\partial F}{\partial u_{00}} y_1 - \frac{\partial F}{\partial u_{01}}, \dots, \frac{\partial F}{\partial u_{00}} y_n - \frac{\partial F}{\partial u_{0n}} \right\}$$

is a characteristic set<sup>3</sup> of  $[\mathcal{I}, \mathbb{P}_0, \ldots, \mathbb{P}_d] \subset \mathcal{F}\{\mathbf{u}_0, \ldots, \mathbf{u}_d, \mathbb{Y}\}$  w.r.t. the elimination ranking  $u_{ij} \prec y_1 \prec \cdots \prec y_n$  which is consistent with  $\mathscr{R}$ .

**Proof.** Denote  $\mathbb{I}_{\zeta,\xi} = [\mathcal{I}, \mathbb{P}_0, \dots, \mathbb{P}_d] \subset \mathcal{F}\{\mathbf{u}_0, \dots, \mathbf{u}_d, \mathbb{Y}\}$ . For each  $\rho = 1, \dots, n$ , differentiate  $F(\mathbf{u}; \zeta_0, \dots, \zeta_d) = 0$  w.r.t.  $u_{0\rho}$ , then  $\frac{\partial F}{\partial u_{0\rho}}|_{(u_{00}, \dots, u_{d0})=(\zeta_0, \dots, \zeta_d)} - \xi_{\rho} \frac{\partial F}{\partial u_{00}}|_{(u_{00}, \dots, u_{d0})=(\zeta_0, \dots, \zeta_d)} = 0$ . Hence,  $\frac{\partial F}{\partial u_{00}} y_{\rho} - \frac{\partial F}{\partial u_{0\rho}} \in \mathbb{I}_{\zeta,\xi} \ (\rho = 1, \dots, n)$ . Let f be any difference polynomial in  $\mathbb{I}_{\zeta,\xi}$ . Suppose g is the difference remainder of f w.r.t.  $\frac{\partial F}{\partial u_{0\rho}} y_{\rho} - \frac{\partial F}{\partial u_{0\rho}} \ (\rho = 1, \dots, n)$  w.r.t. the elimination ranking  $u_{ij} \prec y_1 \prec \cdots \prec y_n$ , then  $g \in \mathbb{I}_{\zeta,\xi} \cap \mathcal{F}\{\mathbf{u}_0, \dots, \mathbf{u}_d\}$ . Thus,  $\operatorname{prem}(f, \mathcal{A}) = \operatorname{prem}(g, [F, F_1, \dots, F_l]) = 0$ . Therefore  $\mathcal{A}$  is a characteristic set of  $\mathbb{I}_{\zeta,\xi}$  w.r.t. the elimination ranking  $u_{ij} \prec y_1 \prec \cdots \prec y_n$  which is consistent with  $\mathscr{R}$ .  $\Box$ 

The following result shows that the generic point  $(\xi_1, \ldots, \xi_n)$  of V can be recovered from its difference Chow ideal.

**Corollary 6.11.** Let  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$  be the difference Chow form of V. Suppose  $\zeta$  is a generic zero of the difference Chow ideal of V and denote

<sup>&</sup>lt;sup>3</sup> Here  $\mathcal{A}$  is a triangular set but may not be an ascending chain. Note that the difference remainder of  $\frac{\partial F}{\partial u_{\alpha\alpha}}$  is not zero, so  $\mathcal{A}$  can also serve as a characteristic set, which is just similar to the differential case.

$$\eta_{\rho} = \frac{\overline{\partial F}}{\partial u_{0\rho}} / \frac{\overline{\partial F}}{\partial u_{00}} \quad (\rho = 1, \dots, n)$$

where  $\frac{\partial F}{\partial u_{0\rho}} = \frac{\partial f}{\partial u_{0\rho}}|_{(\mathbf{u}_0,\ldots,\mathbf{u}_d)=\zeta}$ . Then  $(\eta_1,\ldots,\eta_n)$  is a generic point of V.

**Proof.** It follows directly from Lemma 6.10.  $\Box$ 

**Corollary 6.12.** Let V be an irreducible difference variety of dimension d over  $\mathcal{F}$ . Denote  $\mathbf{u} = \bigcup_{i=0}^{d} \mathbf{u}_i \setminus \{u_{i0}\}$ . Then over  $\mathcal{F}\langle \mathbf{u} \rangle$ , V is birationally equivalent to an irreducible difference variety of codimension 1.

**Proof.** Suppose  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$  is the difference Chow form of V and  $\operatorname{CI} \subset \mathcal{F}\{\mathbf{u}; u_{00}, \ldots, u_{d0}\}$  is the difference Chow ideal of V. Let  $\operatorname{CI}_{\mathbf{u}} = [\operatorname{CI}] \subset \mathcal{F}\langle \mathbf{u} \rangle \{u_{00}, \ldots, u_{d0}\}$  and  $W = \mathbb{V}(\operatorname{CI}_{\mathbf{u}}) \subset \mathscr{U}^n$ . By Lemma 3.3, W is an irreducible difference variety of codimension 1. Then over  $\mathcal{F}\langle \mathbf{u} \rangle$ , V is birationally equivalent to W with the following maps:

$$\phi: \quad V \quad \to \qquad W$$
$$(a_1, \dots, a_n) \quad \left( -\sum_{k=0}^n u_{0k} a_k, \dots, -\sum_{k=0}^n u_{dk} a_k \right)$$

and

$$\psi: \quad W \quad \to \quad V$$

$$(b_{00}, \dots, b_{d0}) \quad \left(\frac{\overline{\partial F}}{\partial u_{01}} \middle/ \frac{\overline{\partial F}}{\partial u_{00}}, \dots, \frac{\overline{\partial F}}{\partial u_{0n}} \middle/ \frac{\overline{\partial F}}{\partial u_{00}}\right),$$

$$\partial F \quad (-1, \dots, -1, -)$$

where  $\overline{\frac{\partial F}{\partial u_{0k}}} = \frac{\partial F}{\partial u_{0k}} (\mathbf{u}; b_{00}, \dots, b_{d0}).$ 

6

The following result gives our first main property for difference Chow form.

**Theorem 6.13.** Let  $\mathcal{I}$  be a reflexive prime difference ideal of dimension d with difference Chow form  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$ . Then  $\operatorname{ord}(F) = \operatorname{ord}(\mathcal{I})$ .

**Proof.** Let  $\mathcal{I}_d = [\mathcal{I}, \mathbb{P}_1, \dots, \mathbb{P}_d] \subset \mathcal{F}\langle \mathbf{u}_1, \dots, \mathbf{u}_d \rangle \{\mathbb{Y}\}$ . By Theorem 3.5  $\mathcal{I}_d$  is a reflexive prime difference ideal with dim $(\mathcal{I}_d) = 0$  and  $\operatorname{ord}(\mathcal{I}_d) = \operatorname{ord}(\mathcal{I})$ .

Let  $\mathcal{J} = [\mathcal{I}, \mathbb{P}_0, \dots, \mathbb{P}_d] = [\mathcal{I}_d, \mathbb{P}_0] \subset \mathcal{F} \langle \mathbf{u}_1, \dots, \mathbf{u}_d; u_{01}, \dots, u_{0n} \rangle \{u_{00}, \mathbb{Y}\}$ . Choose a ranking  $\mathscr{R}$  such that  $u_{00}$  is the leading variable of F. By Lemma 6.10,  $\mathcal{A}$  is a characteristic set of  $\mathbb{I}_{\xi,\zeta}$ . Since  $\{\mathbf{u}_1, \dots, \mathbf{u}_d, u_{00}, \dots, u_{0n}\}$  is a parametric set of  $\mathbb{I}_{\xi,\zeta}$ , by Lemma 3.3,  $\mathcal{A}$  is also a characteristic set of  $\mathcal{J}$  w.r.t. some ranking. Since  $\dim(\mathcal{J}) = 0$ ,  $\operatorname{ord}(\mathcal{J}) = \operatorname{ord}(\mathcal{A}) = \operatorname{ord}(F)$ .

Let  $\eta = (\eta_1, \ldots, \eta_n)$  be a generic zero of  $\mathcal{I}_d$ . Set  $\theta = -\sum_{j=1}^n u_{0j}\eta_j$ , then  $(\theta, \eta_1, \ldots, \eta_n)$  is a generic zero of  $\mathcal{J}$ . Since dim $(\mathcal{J}) = 0$ , for sufficiently large integer t,

$$\operatorname{ord}(\mathcal{J}) = \varphi_{\mathcal{J}}(t)$$

$$= \operatorname{tr.deg} \mathcal{F} \langle \mathbf{u}_{1}, \dots, \mathbf{u}_{d}, u_{01}, \dots, u_{0n} \rangle \left( \theta^{[t]}, \eta_{1}^{[t]}, \dots, \eta_{n}^{[t]} \right) / \mathcal{F} \langle \mathbf{u}_{1}, \dots, \mathbf{u}_{d}, u_{01}, \dots, u_{0n} \rangle$$

$$= \operatorname{tr.deg} \mathcal{F} \langle \mathbf{u}_{1}, \dots, \mathbf{u}_{d}, u_{01}, \dots, u_{0n} \rangle \left( \eta_{1}^{[t]}, \dots, \eta_{n}^{[t]} \right) / \mathcal{F} \langle \mathbf{u}_{1}, \dots, \mathbf{u}_{d}, u_{01}, \dots, u_{0n} \rangle$$

$$= \operatorname{tr.deg} \mathcal{F} \langle \mathbf{u}_{1}, \dots, \mathbf{u}_{d} \rangle \left( \eta_{1}^{[t]}, \dots, \eta_{n}^{[t]} \right) / \mathcal{F} \langle \mathbf{u}_{1}, \dots, \mathbf{u}_{d}, u_{01}, \dots, u_{0n} \rangle$$

$$= \operatorname{tr.deg} \mathcal{F} \langle \mathbf{u}_{1}, \dots, \mathbf{u}_{d} \rangle \left( \eta_{1}^{[t]}, \dots, \eta_{n}^{[t]} \right) / \mathcal{F} \langle \mathbf{u}_{1}, \dots, \mathbf{u}_{d} \rangle$$

$$= \varphi_{\mathcal{I}_{d}}(t) = \operatorname{ord}(\mathcal{I}_{d}).$$

Hence  $\operatorname{ord}(F) = \operatorname{ord}(\mathcal{J}) = \operatorname{ord}(\mathcal{I}_d) = \operatorname{ord}(\mathcal{I}).$ 

### 6.3. Homogeneity of the difference Chow form

In this section, we will show that the difference Chow form is transformally homogeneous.

**Definition 6.14.** A difference polynomial  $p \in \mathcal{F}\{y_0, \ldots, y_n\}$  is said to be transformally homogeneous if for a new difference indeterminate  $\lambda$ ,  $p(\lambda y_0, \ldots, \lambda y_n) = M(\lambda)p(y_0, \ldots, y_n)$ , where  $M(\lambda)$  is a difference monomial of  $\lambda$ .

The difference analog of Euler's theorem related to homogeneous polynomials is valid.

**Lemma 6.15.** (See [15].) A difference polynomial  $p \in \mathcal{F}\{y_0, \ldots, y_n\}$  is transformally homogeneous if and only if for each  $k \geq 0$ , there exists  $r_k \in \mathbb{N}_0$  such that

$$\sum_{j=0}^{n} y_j^{(k)} \frac{\partial p}{\partial y_j^{(k)}} = r_k p.$$

**Theorem 6.16.** Let  $F(\mathbf{u}_0, \mathbf{u}_1, \dots, \mathbf{u}_d)$  be the difference Chow form of a difference irreducible variety V of dimension d and order h. Then

1)  $\sum_{j=0}^{n} u_{\tau j}^{(k)} \frac{\partial F}{\partial u_{\sigma j}^{(k)}} = \begin{cases} 0 & \text{if } \sigma = \tau \\ r_k F & \text{if } \sigma \neq \tau \end{cases} \text{ for } k = 0, 1, \dots, h, \text{ where } r_k \in \mathbb{N}_0.$ 2)  $F(\mathbf{u}_0, \dots, \mathbf{u}_d) \text{ is transformally homogeneous in each } \mathbf{u}_i.$ 

**Proof.** Differentiate  $F(\mathbf{u}; \zeta_0, \zeta_1, \ldots, \zeta_d) = 0$  on both sides w.r.t.  $u_{\sigma j}^{(k)}$   $(k = 0, \ldots, h)$ , then

$$\overline{\frac{\partial F}{\partial u_{\sigma j}^{(k)}}} + \overline{\frac{\partial F}{\partial u_{\sigma 0}^{(k)}}} \left(-\xi_j^{(k)}\right) = 0,$$

where  $\overline{\frac{\partial F}{\partial u_{\sigma_j}^{(k)}}} = \frac{\partial F}{\partial u_{\sigma_j}^{(k)}}|_{(u_{00},\ldots,u_{d0})=(\zeta_0,\ldots,\zeta_d)}$ . Multiply the above equation by  $u_{\tau_j}^{(k)}$  and for j from 1 to n, add them together, then we get

$$\sum_{j=1}^{n} u_{\tau j}^{(k)} \overline{\frac{\partial F}{\partial u_{\sigma j}^{(k)}}} + \zeta_{\tau}^{(k)} \overline{\frac{\partial F}{\partial u_{\sigma 0}^{(k)}}} = 0.$$

Hence, the difference polynomial  $G_{\sigma\tau} = \sum_{j=0}^{n} u_{\tau j}^{(k)} \frac{\partial F}{\partial u_{\sigma j}^{(k)}} \in \mathbb{I}_{\zeta,\xi}$ . Since  $\operatorname{ord}(G_{\sigma\tau}) \leq h$ , F divides  $G_{\sigma\tau}$ . If  $\tau \neq \sigma$ ,  $\operatorname{deg}(G_{\sigma\tau}, \mathbf{u}_{\sigma}^{(k)}) < \operatorname{deg}(F, \mathbf{u}_{\sigma}^{(k)})$ , thus  $G_{\sigma\tau} = 0$ . In the case  $\tau = \sigma$ , there exists  $r_k \in \mathbb{N}_0$  such that  $\sum_{j=0}^{n} u_{\sigma j}^{(k)} \frac{\partial F}{\partial u_{\sigma j}^{(k)}} = r_k F$  for  $k = 0, 1, \ldots, h$ . And by Lemma 6.15,  $F(\mathbf{u}_0, \mathbf{u}_1, \ldots, \mathbf{u}_d)$  is transformally homogeneous in each  $\mathbf{u}_i$ .  $\Box$ 

**Definition 6.17.** Let V be an irreducible difference variety of dimension d and order h. Let  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d)$  be the difference Chow form of V. The *difference degree* of V is defined as the homogeneous degree  $r = \sum_{k=0}^{h} r_k$  of its difference Chow form in each  $\mathbf{u}_i$   $(i = 0, \ldots, d)$ .

The following result shows that the difference degree of a variety V is an invariant of V under invertible linear transformations.

**Lemma 6.18.** Let  $A = (a_{ij})$  be an  $n \times n$  invertible matrix with  $a_{ij} \in \mathcal{F}$  and  $F(\mathbf{u}_0, \mathbf{u}_1, \ldots, \mathbf{u}_d)$  the Chow form of an irreducible difference variety V of dimension d. Then the difference Chow form of the image variety of V under the linear transformation  $\mathbb{Y} = A\mathbb{X}$  is  $F^A(\mathbf{v}_0, \ldots, \mathbf{v}_d) = F(\mathbf{v}_0 B, \ldots, \mathbf{v}_d B)$ , where  $B = \begin{pmatrix} 1 & 0_{1 \times n} \\ 0_{n \times 1} & A \end{pmatrix}$  and  $\mathbf{u}_i$  and  $\mathbf{v}_i$  are regarded as row vectors.

**Proof.** Let  $\xi = (\xi_1, \ldots, \xi_n)$  be a generic point of V. Under the linear transformation  $\mathbb{Y} = A\mathbb{X}$ , V is mapped to an irreducible difference variety  $V^A$  whose generic point is  $\eta = (\eta_1, \ldots, \eta_n)$  with  $\eta_i = \sum_{j=1}^n a_{ij}\xi_j$ . Denote  $F(\mathbf{u}_0, \ldots, \mathbf{u}_d) = f(u_{ij}; u_{00}, \ldots, \mathbf{u}_d)$ . Note that  $F^A(\mathbf{v}_0, \ldots, \mathbf{v}_d) = f(\sum_{k=1}^n v_{ik}a_{kj}; v_{00}, \ldots, v_{d0})$  and  $f(\sum_{k=1}^n v_{ik}a_{kj}; -\sum_{k=1}^n v_{0k}\eta_k, \ldots, -\sum_{k=1}^n v_{dk}\eta_k) = f(\sum_{k=1}^n v_{ik}a_{kj}; -\sum_{j=1}^n (\sum_{k=1}^n v_{0k}a_{kj})\xi_j, \ldots, -\sum_{j=1}^n (\sum_{k=1}^n v_{dk}\times a_{kj})\xi_j) = 0$ . Since  $V^A$  is of the same dimension and order as V and  $F^A$  is irreducible, by the definition of difference Chow form, the proof is completed.  $\Box$ 

**Definition 6.19.** Let p be a difference polynomial in  $\mathcal{F}\{y\}$ . Suppose  $\operatorname{ord}(p, y) = t$  and  $m_i = \deg(p, y^{(i)})$   $(i = 0, \ldots, t)$ . Then  $\prod_{i=0}^t (y^{(i)})^{d_i}$  is called the *difference denomination* of p, denoted by  $\operatorname{den}^{\sigma}(p)$ .

**Example 6.20.** Consider the case n = 1. Suppose  $\mathcal{I} = \operatorname{sat}(g(y), g_1(y), \ldots, g_s(y))$  be a reflexive prime difference ideal in  $\mathcal{F}\{\mathbb{Y}\}$ . Let  $\operatorname{den}^{\sigma}(g) = M(y)$ . Clearly,  $M(u_{01})$  is the minimal difference monomial such that  $M(u_{01})g(-\frac{u_{00}}{u_{01}}) \in \mathcal{F}\{\mathbf{u}_0\}$  where  $\mathbf{u}_0 = (u_{00}, u_{01})$ . By Example 6.3,  $F(\mathbf{u}_0) = M(u_{01})g(-\frac{u_{00}}{u_{01}})$  is the difference Chow form of  $\mathcal{I}$ . Thus, the difference degree of  $\mathcal{I}$  is equal to the degree of the difference denomination of g, i.e.  $\sum_{i=0}^{\operatorname{ord}(g)} \deg(g, y^{(i)})$ . Recall that in the differential case, the differential degree of  $\operatorname{sat}(g)$  is also equal to the denomination of g. But the denomination of a differential polynomial is much more complicated to compute than the difference case. There, we showed that the weighted degree of g is a sharp bound for the differential degree of  $\operatorname{sat}(g)$ .

#### 7. Conclusion

In this paper, firstly, it is shown that both the dimension and the order of a reflexive prime difference ideal can be read off from its characteristic sets under any fixed ranking. Then we give a generic intersection theorem for difference varieties. Precisely, the intersection of an irreducible difference variety of dimension d > 0 and order h with a generic difference hypersurface of order s is shown to be an irreducible difference variety of dimension d-1 and order h+s. Based on the intersection theory, the difference Chow form for an irreducible difference variety is defined and its basic properties are given.

Below, we propose several problems for further study.

In the differential case, much more properties are proved for the differential Chow form [6], which are not yet able to be generalized to the difference case due to the distinct structures of the differential and difference operators. It is interesting to enrich the properties of difference Chow form, especially to establish a theory of difference Chow variety.

In Remark 6.7, we mentioned that the difference Chow form can be computed with the difference characteristic set method. But it is difficult to analyze the computing complexity if we just work with the usual characteristic set method. In the algebraic case, Jeronimo et al. gave a bounded probabilistic algorithm to compute the Chow form, whose complexity is polynomial in the size and the geometric degree of the input equation system [10]. It is important to apply the principles behind such algorithms to propose an efficient algorithm to compute the difference Chow form.

In Theorem 3.5, we proved that both the dimension and the relative order of a reflexive prime difference ideal can be reflected from its characteristic set under an arbitrary ranking. We conjecture that the relative effective order can also be read off.

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